Community Catch Survey Template

Section: **Registration**

Sub-section: **Registration information**

Question: **Fishery Client Organisation**

Clause Evaluation
Fishery Client Representative

Question: **First Name**

Question: **Last Name**

Question: **Email**

Question: **Phone**

Question: **Address line 1**

Question: **Address line 2**

Question: **City**

Question: **County**

Question: **Post/ZIP code**

Question: **Country**

- ☐ United Kingdom
- ☐ Afghanistan
- ☐ Aland Islands
- ☐ Albania
- ☐ Algeria
- ☐ American Samoa
- ☐ Andorra
- ☐ Angola
- ☐ Anguilla

- ☐ Antarctica
- ☐ Antigua And Barbuda
- ☐ Argentina
- ☐ Armenia
- ☐ Aruba
- ☐ Australia
- ☐ Austria
- ☐ Azerbaijan
- ☐ Bahamas
- ☐ Bahrain
- ☐ Bangladesh
- ☐ Barbados
- ☐ Belarus
- ☐ Belgium
- ☐ Belize
- ☐ Benin
- ☐ Bermuda
- ☐ Bhutan
- ☐ Bolivia
- ☐ Bosnia And Herzegovina
- ☐ Botswana
- ☐ Bouvet Island
- ☐ Brazil
- ☐ British Indian Ocean Territory
- ☐ Brunei Darussalam
- ☐ Bulgaria
- ☐ Burkina Faso
- ☐ Burundi
- ☐ Cambodia
- ☐ Cameroon
- ☐ Canada
- ☐ Cape Verde
- ☐ Cayman Islands

- ☐ Central African Republic
- ☐ Chad
- ☐ Chile
- ☐ China
- ☐ Christmas Island
- ☐ Cocos (Keeling) Islands
- ☐ Colombia
- ☐ Comoros
- ☐ Congo
- ☐ Congo Democratic Republic
- ☐ Cook Islands
- ☐ Costa Rica
- ☐ Cote D\Ivoire
- ☐ Croatia
- ☐ Cuba
- ☐ Cyprus
- ☐ Czech Republic
- ☐ Denmark
- ☐ Djibouti
- ☐ Dominica
- ☐ Dominican Republic
- ☐ Ecuador
- ☐ Egypt
- ☐ El Salvador
- ☐ Equatorial Guinea
- ☐ Eritrea
- ☐ Estonia
- ☐ Ethiopia
- ☐ Falkland Islands (Malvinas)
- ☐ Faroe Islands
- ☐ Fiji
- ☐ Finland
- ☐ France

- ☐ French Guiana
- ☐ French Polynesia
- ☐ French Southern Territories
- ☐ Gabon
- ☐ Gambia
- ☐ Georgia
- ☐ Germany
- ☐ Ghana
- ☐ Gibraltar
- ☐ Greece
- ☐ Greenland
- ☐ Grenada
- ☐ Guadeloupe
- ☐ Guam
- ☐ Guatemala
- ☐ Guernsey
- ☐ Guinea
- ☐ Guinea-Bissau
- ☐ Guyana
- ☐ Haiti
- ☐ Heard Island & Mcdonald Islands
- ☐ Holy See (Vatican City State)
- ☐ Honduras
- ☐ Hong Kong
- ☐ Hungary
- ☐ Iceland
- ☐ India
- ☐ Indonesia
- ☐ Iran Islamic Republic Of
- ☐ Iraq
- ☐ Ireland
- ☐ Isle Of Man
- ☐ Israel

- ☐ Italy
- ☐ Jamaica
- ☐ Japan
- ☐ Jersey
- ☐ Jordan
- ☐ Kazakhstan
- ☐ Kenya
- ☐ Kiribati
- ☐ Korea
- ☐ North Korea
- ☐ Kuwait
- ☐ Kyrgyzstan
- ☐ Lao People\s Democratic Republic
- ☐ Latvia
- ☐ Lebanon
- ☐ Lesotho
- ☐ Liberia
- ☐ Libyan Arab Jamahiriya
- ☐ Liechtenstein
- ☐ Lithuania
- ☐ Luxembourg
- ☐ Macao
- ☐ Macedonia
- ☐ Madagascar
- ☐ Malawi
- ☐ Malaysia
- ☐ Maldives
- ☐ Mali
- ☐ Malta
- ☐ Marshall Islands
- ☐ Martinique
- ☐ Mauritania
- ☐ Mauritius

- ☐ Mayotte
- ☐ Mexico
- ☐ Micronesia Federated States Of
- ☐ Moldova
- ☐ Monaco
- ☐ Mongolia
- ☐ Montenegro
- ☐ Montserrat
- ☐ Morocco
- ☐ Mozambique
- ☐ Myanmar
- ☐ Namibia
- ☐ Nauru
- ☐ Nepal
- ☐ Netherlands
- ☐ Netherlands Antilles
- ☐ New Caledonia
- ☐ New Zealand
- ☐ Nicaragua
- ☐ Niger
- ☐ Nigeria
- ☐ Niue
- ☐ Norfolk Island
- ☐ Northern Mariana Islands
- ☐ Norway
- ☐ Oman
- ☐ Pakistan
- ☐ Palau
- ☐ Palestinian Territory Occupied
- ☐ Panama
- ☐ Papua New Guinea
- ☐ Paraguay
- ☐ Peru

- ☐ Philippines
- ☐ Pitcairn
- ☐ Poland
- ☐ Portugal
- ☐ Puerto Rico
- ☐ Qatar
- ☐ Reunion
- ☐ Romania
- ☐ Russian Federation
- ☐ Rwanda
- ☐ Saint Barthelemy
- ☐ Saint Helena
- ☐ Saint Kitts And Nevis
- ☐ Saint Lucia
- ☐ Saint Martin
- ☐ Saint Pierre And Miquelon
- ☐ Saint Vincent And Grenadines
- ☐ Samoa
- ☐ San Marino
- ☐ Sao Tome And Principe
- ☐ Saudi Arabia
- ☐ Senegal
- ☐ Serbia
- ☐ Seychelles
- ☐ Sierra Leone
- ☐ Singapore
- ☐ Slovakia
- ☐ Slovenia
- ☐ Solomon Islands
- ☐ Somalia
- ☐ South Africa
- ☐ South Georgia And Sandwich Isl.
- ☐ Spain

- ☐ Sri Lanka
- ☐ Sudan
- ☐ Suriname
- ☐ Svalbard And Jan Mayen
- ☐ Swaziland
- ☐ Sweden
- ☐ Switzerland
- ☐ Syrian Arab Republic
- ☐ Taiwan
- ☐ Tajikistan
- ☐ Tanzania
- ☐ Thailand
- ☐ Timor-Leste
- ☐ Togo
- ☐ Tokelau
- ☐ Tonga
- ☐ Trinidad And Tobago
- ☐ Tunisia
- ☐ Turkey
- ☐ Turkmenistan
- ☐ Turks And Caicos Islands
- ☐ Tuvalu
- ☐ Uganda
- ☐ Ukraine
- ☐ United Arab Emirates
- ☐ United States
- ☐ United States Outlying Islands
- ☐ Uruguay
- ☐ Uzbekistan
- ☐ Vanuatu
- ☐ Venezuela
- ☐ Vietnam
- ☐ Virgin Islands British

- ☐ Virgin Islands U.S.
- ☐ Wallis And Futuna
- ☐ Western Sahara
- ☐ Yemen
- ☐ Zambia
- ☐ Zimbabwe

Question: **FAO Fishery Area in which the applicant Fishery operates**

- ☐ 01 - Africa - Inland waters
- ☐ 02 - America, North - Inland waters
- ☐ 03 - America, South - Inland waters
- ☐ 04 - Asia - Inland waters
- ☐ 05 - Europe - Inland waters
- ☐ 06 - Oceania - Inland waters
- ☐ 07 - (Former USSR area - Inland waters)
- ☐ 08 - Antarctica - Inland waters
- ☐ 18 - Arctic Sea
- ☐ 21 - Atlantic, Northwest
- ☐ 27 - Atlantic, Northeast
- ☐ 31 - Atlantic, Western Central
- ☐ 34 - Atlantic, Eastern Central
- ☐ 37 - Mediterranean and Black Sea
- ☐ 41 - Atlantic, Southwest
- ☐ 47 - Atlantic, Southeast
- ☐ 48 - Atlantic, Antarctic
- ☐ 51 - Indian Ocean, Western
- ☐ 57 - Indian Ocean, Eastern
- ☐ 58 - Indian Ocean, Antarctic
- ☐ 61 - Pacific, Northwest
- ☐ 67 - Pacific, Northeast
- ☐ 71 - Pacific, Western Central
- ☐ 77 - Pacific, Eastern Central

☐ 81 - Pacific, Southwest
☐ 87 - Pacific, Southeast
☐ 88 - Pacific, Antarctic

Question: **Description of area in which the fishery operates (such as sea, territorial water, estuary etc)**

Question: **Relationship of Fishery Client to Fisher Group**
☐ Fisher Representative (Fisher/Fishing)
☐ Company/Fisher Association/PO etc)
☐ Buyer
☐ Processor
☐ Consultant
☐ NGO/Advisor
☐ Management Body
☐ Other
☐ None of the abover

Clause Evaluation
Identify a representative of the Fisher Group

Question: **First Name**

Question: **Last Name**

Question: **Email**

Question: **Phone number**

Section: **Scope**

Sub-section: **Small Scale fishery scope - part 1**

Question: **Are all fishing vessels in the Fisher Group less than 24m length, or no vessels used?**
☐ Yes

☐ No
☐ Don't know

Question: **Is the Fishing gear either Labour intensive or Passive?**
☐ Passive
☐ Labour intensive
☐ Don't know

Sub-section: **Scope Matrix**

Question: **Size of Vessel**
☐ No vessels used
☐ <12m (or <10GT)
☐ 12 to ≤ 24 m (or ≤50 GT)
☐ Over 24 m (or > 50 GT)

Question: **Labour/Crew**
☐ Single individual (no employment) (This would normally be an owner/operator)
☐ Family members (This extends to second-degree relatives: grandparents, grandchildren, uncles, aunts, nephews, nieces, and half-siblings)
☐ ≤4 crew members (This would include the skipper if an employee. Otherwise, skipper would not be included)
☐ >4 crew members

Question: **Size of Motor**
☐ No engine
☐ Outboard engine(s) or inboard engine ≤ 100 hp (Outboard engine of any size)
☐ Inboard engine > 100 hp to ≤ 400 hp
☐ Inboard engine > 400 hp

Question: **Fishing Gear Type**
☐ Labour-intensive gear
☐ Passive gear
☐ Gear with aggregating devices (Aggregating devices include drifting FADs, anchored FADs and lights. Passive Gear with aggregating devices score 2)
☐ Active gear (Active gear with aggregating devices score 3)

Question: **Gear Mechanisation**
☐ No mechanisation
☐ Small power winch/hauler powered off engine (Such as mechanised pot-haulers)
☐ Independently powered gear deployment/hauling (Entailing a separate power block and winch, common in seine-netting or long-lining)
☐ Fully mechanized gear deployment/hauling (Such as stern trawl winches)

Question: **Fishing Trip Duration**
☐ < 6 hours or single low/high-water period
☐ Day trip (< 24 hours)
☐ >1 day to ≤4 days
☐ > 4 days

Question: **Fishing location and range**
☐ Inland waters, Intertidal (at low water) & Nearshore (Nearshore would be ≤ 100 m from shoreline/baseline))
☐ Territorial Sea up to 12nm from coast/baseline
☐ EEZ of Flag State (up to 200nm from baseline)
☐ EEZ of Flag State and High Seas (HMS only) (High seas fishing for non-Highly Migratory Stocks would be out of scope and not eligible for Community Catch)

Question: **Ownership**
☐ Owner/operator (No vessels or vessel owner / part owner also working as skipper, crew member or operator (on one or more vessels))
☐ Leased arrangement (Skipper or sole operator or small-scale fishing groups leases (or part leases) their vessel and pays rent in cash or catch)
☐ Owner (Owner owns the vessel/gear but does not take part in fishing operations. Ownership may be by a company, but the number of shareholders would be less than 10 and the activity of the company would be limited to fishing operations. The number of vessels would normally be no more than 5 unless all vessels were <12m)
☐ Corporate business (Corporate business would be a company with shares available to the public or activities extend beyond fishing (e.g. processing) or large (more than 5) number of vessels)

Question: **Matrix Score**

Sub-section: **Small Scale fishery scope - part 2**

Question: **Are target ('Recognised') species fish, invertebrates and/or seaweed (algae) or other aquatic plants**
☐ Yes
☐ No
☐ Don't know

Question: **Is fishing Wild Capture (i.e. not aquaculture)**
☐ Yes
☐ No
☐ Don't know

Question: **Does the fishery depend on continual stocking of introduced (non-native) species**
☐ Yes
☐ No
☐ Don't know

Sub-section: **Scope decision**

Question: **Scope decision**

Question: **Scope decision**

Section: **Application Information**

Sub-section: **Application information**

Question: **Identify members of the Fisher Group by vessel or by fisher names**
☐ Fisher names
☐ Vessel

Question: **Record vessel manually or by uploading an Excel file?**
☐ Excel file
☐ Manually

Question: **Vessel name**

Question: **Vessel registration**

Question: **Please upload your list of vessels and registrations (pdf, xls, doc, jpg, etc)**
Unknown

Question: **Record skippers, fishermen manually or by uploading an Excel file?**
☐ Excel file
☐ Manually

Question: **Skipper, Fisher Name**

Question: **Please upload your list of skippers, fishermen (pdf, xls, doc, jpg, etc)**
Unknown

Question: **Are vessels flagged in another country**
☐ Yes
☐ No

Question: **Name(s) of countries in which vessels are flagged**
☐ United Kingdom
☐ Afghanistan
☐ Aland Islands
☐ Albania
☐ Algeria
☐ American Samoa
☐ Andorra
☐ Angola
☐ Anguilla
☐ Antarctica
☐ Antigua And Barbuda

- ☐ Argentina
- ☐ Armenia
- ☐ Aruba
- ☐ Australia
- ☐ Austria
- ☐ Azerbaijan
- ☐ Bahamas
- ☐ Bahrain
- ☐ Bangladesh
- ☐ Barbados
- ☐ Belarus
- ☐ Belgium
- ☐ Belize
- ☐ Benin
- ☐ Bermuda
- ☐ Bhutan
- ☐ Bolivia
- ☐ Bosnia And Herzegovina
- ☐ Botswana
- ☐ Bouvet Island
- ☐ Brazil
- ☐ British Indian Ocean Territory
- ☐ Brunei Darussalam
- ☐ Bulgaria
- ☐ Burkina Faso
- ☐ Burundi
- ☐ Cambodia
- ☐ Cameroon
- ☐ Canada
- ☐ Cape Verde
- ☐ Cayman Islands
- ☐ Central African Republic
- ☐ Chad

- ☐ Chile
- ☐ China
- ☐ Christmas Island
- ☐ Cocos (Keeling) Islands
- ☐ Colombia
- ☐ Comoros
- ☐ Congo
- ☐ Congo Democratic Republic
- ☐ Cook Islands
- ☐ Costa Rica
- ☐ Cote D\Ivoire
- ☐ Croatia
- ☐ Cuba
- ☐ Cyprus
- ☐ Czech Republic
- ☐ Denmark
- ☐ Djibouti
- ☐ Dominica
- ☐ Dominican Republic
- ☐ Ecuador
- ☐ Egypt
- ☐ El Salvador
- ☐ Equatorial Guinea
- ☐ Eritrea
- ☐ Estonia
- ☐ Ethiopia
- ☐ Falkland Islands (Malvinas)
- ☐ Faroe Islands
- ☐ Fiji
- ☐ Finland
- ☐ France
- ☐ French Guiana
- ☐ French Polynesia

- ☐ French Southern Territories
- ☐ Gabon
- ☐ Gambia
- ☐ Georgia
- ☐ Germany
- ☐ Ghana
- ☐ Gibraltar
- ☐ Greece
- ☐ Greenland
- ☐ Grenada
- ☐ Guadeloupe
- ☐ Guam
- ☐ Guatemala
- ☐ Guernsey
- ☐ Guinea
- ☐ Guinea-Bissau
- ☐ Guyana
- ☐ Haiti
- ☐ Heard Island & Mcdonald Islands
- ☐ Holy See (Vatican City State)
- ☐ Honduras
- ☐ Hong Kong
- ☐ Hungary
- ☐ Iceland
- ☐ India
- ☐ Indonesia
- ☐ Iran Islamic Republic Of
- ☐ Iraq
- ☐ Ireland
- ☐ Isle Of Man
- ☐ Israel
- ☐ Italy
- ☐ Jamaica

- ☐ Japan
- ☐ Jersey
- ☐ Jordan
- ☐ Kazakhstan
- ☐ Kenya
- ☐ Kiribati
- ☐ Korea
- ☐ North Korea
- ☐ Kuwait
- ☐ Kyrgyzstan
- ☐ Lao People\s Democratic Republic
- ☐ Latvia
- ☐ Lebanon
- ☐ Lesotho
- ☐ Liberia
- ☐ Libyan Arab Jamahiriya
- ☐ Liechtenstein
- ☐ Lithuania
- ☐ Luxembourg
- ☐ Macao
- ☐ Macedonia
- ☐ Madagascar
- ☐ Malawi
- ☐ Malaysia
- ☐ Maldives
- ☐ Mali
- ☐ Malta
- ☐ Marshall Islands
- ☐ Martinique
- ☐ Mauritania
- ☐ Mauritius
- ☐ Mayotte
- ☐ Mexico

- ☐ Micronesia Federated States Of
- ☐ Moldova
- ☐ Monaco
- ☐ Mongolia
- ☐ Montenegro
- ☐ Montserrat
- ☐ Morocco
- ☐ Mozambique
- ☐ Myanmar
- ☐ Namibia
- ☐ Nauru
- ☐ Nepal
- ☐ Netherlands
- ☐ Netherlands Antilles
- ☐ New Caledonia
- ☐ New Zealand
- ☐ Nicaragua
- ☐ Niger
- ☐ Nigeria
- ☐ Niue
- ☐ Norfolk Island
- ☐ Northern Mariana Islands
- ☐ Norway
- ☐ Oman
- ☐ Pakistan
- ☐ Palau
- ☐ Palestinian Territory Occupied
- ☐ Panama
- ☐ Papua New Guinea
- ☐ Paraguay
- ☐ Peru
- ☐ Philippines
- ☐ Pitcairn

- ☐ Poland
- ☐ Portugal
- ☐ Puerto Rico
- ☐ Qatar
- ☐ Reunion
- ☐ Romania
- ☐ Russian Federation
- ☐ Rwanda
- ☐ Saint Barthelemy
- ☐ Saint Helena
- ☐ Saint Kitts And Nevis
- ☐ Saint Lucia
- ☐ Saint Martin
- ☐ Saint Pierre And Miquelon
- ☐ Saint Vincent And Grenadines
- ☐ Samoa
- ☐ San Marino
- ☐ Sao Tome And Principe
- ☐ Saudi Arabia
- ☐ Senegal
- ☐ Serbia
- ☐ Seychelles
- ☐ Sierra Leone
- ☐ Singapore
- ☐ Slovakia
- ☐ Slovenia
- ☐ Solomon Islands
- ☐ Somalia
- ☐ South Africa
- ☐ South Georgia And Sandwich Isl.
- ☐ Spain
- ☐ Sri Lanka
- ☐ Sudan

- ☐ Suriname
- ☐ Svalbard And Jan Mayen
- ☐ Swaziland
- ☐ Sweden
- ☐ Switzerland
- ☐ Syrian Arab Republic
- ☐ Taiwan
- ☐ Tajikistan
- ☐ Tanzania
- ☐ Thailand
- ☐ Timor-Leste
- ☐ Togo
- ☐ Tokelau
- ☐ Tonga
- ☐ Trinidad And Tobago
- ☐ Tunisia
- ☐ Turkey
- ☐ Turkmenistan
- ☐ Turks And Caicos Islands
- ☐ Tuvalu
- ☐ Uganda
- ☐ Ukraine
- ☐ United Arab Emirates
- ☐ United States
- ☐ United States Outlying Islands
- ☐ Uruguay
- ☐ Uzbekistan
- ☐ Vanuatu
- ☐ Venezuela
- ☐ Vietnam
- ☐ Virgin Islands British
- ☐ Virgin Islands U.S.
- ☐ Wallis And Futuna

☐ Western Sahara
☐ Yemen
☐ Zambia
☐ Zimbabwe

Question: **Identify gear used**
☐ Hand lines and pole lines
☐ Pots
☐ Beach seines
☐ Hand-gathered
☐ Trammel nets
☐ Set gillnets
☐ Encircling gillnets
☐ Combined trammel and gillnets
☐ Driftnets
☐ Lampara nets
☐ Traps
☐ Longlines
☐ Set longlines
☐ Troll Lines
☐ Danish seines
☐ Scottish seines
☐ Boat operated lift nets
☐ Purse seines
☐ Beam trawls
☐ Bottom otter trawls
☐ Bottom pair trawls
☐ Midwater otter trawls
☐ Otter twin trawls
☐ Pelagic pair trawls

Question: **What is the main species caught (the main 'recognised' species)**

Question: **Which Months is the gear used in?**

☐ January
☐ February
☐ March
☐ April
☐ May
☐ June
☐ July
☐ August
☐ September
☐ October
☐ November
☐ December

Question: **Are the fishers members of a fishers/producers cooperative or organisation?**
☐ Yes
☐ No

Question: **Species**

Question: **Approximate amount sold by Fisher Group per annum (Metric tons)**

Question: **Name of cooperative or organisation**

Section: **Labour Rights**

Sub-section: **Section Scope**

Question: **1.0.1.1 - Identify which of the following categories describes the Fisher Group**
☐ Category 1 - All vessels are owner-operated and are single-handed or crewed by family members.
☐ Category 2 - Vessels are crewed by local workers. These may be directly employed or in a catch-share arrangement.

☐ Category 3 - Migrant workers form all or part of the fishers in any part of the Fisher Group

Sub-section: **1.1 There is no forced labour**

Clause Evaluation

1.1.1 Forced Labour Disclosure and Investigation Commitment (MINIMUM REQUIREMENT)

Fishery Client confirms that there is no known forced labour within the Fisher Group. Any prior or ongoing reports of forced labour by fishers or stakeholders are investigated and reported.

Question:

Question: **1.1.1.1 - Can you (the Fishery Client) confirm that there is currently no known forced (or involuntary) labour within the Fisher Group?**

☐ Yes
☐ No

Question: **1.1.1.2 - Are you aware of any reports of forced labour in the Fisher Group in the recent past (such as the last 5 years)?**

☐ Yes
☐ No

Question: **1.1.1.3 - Report any incidents and their outcomes:**

Clause Evaluation

1.1.2 Freedom to Work and Leave Employment

Fishers are not forced to work. Fishers are always free to leave their employment after reasonable notice.

Question:

Question: **1.1.2.1 - Do all fishers/employees, within the fisher group, enter employment of their own free will, and are free to leave their employment after giving reasonable notice?**

☐ Yes
☐ No

Question: **1.1.2.2 - What are the usual notice periods in the Fisher Group?**

Clause Evaluation

1.1.3 Fishers' Freedom to Leave Vessel

Fishers are free to leave the vessel (e.g. when in port), subject to relevant legal restrictions.

> Question:

> Question: **1.1.3.1 - Are fishers free to leave the vessel, e.g when in port or between trips?**
> ☐ No
> ☐ Usually
> ☐ Always
> ☐ Vessels are not used in the Fisher Group

Clause Evaluation

1.1.4 Immediate Remediation of forced labour

Detection of Forced Labour within the Fisher Group shall trigger immediate and effective remedial action by the Fishery Client or their agent. The remediation process shall protect the victims and ensure that they do not experience reprisals. Remedial actions shall be reported.

> Question:

> Question: **1.1.4.1 - Describe any reports of forced labour within the Fisher Group, when they occurred and how they were investigated and resolved. If cases are ongoing, describe the charges brought and stage of the investigation.**

> Question: **1.1.4.2 - Is the client committed to ensuring that any identification of or reporting of Forced Labour within the fisher group will be dealt with immediately and that the victim's safety will always be prioritised?**
> ☐ Yes
> ☐ No

Sub-section: 1.2 There is no bonded labour

Clause Evaluation

1.2.1 Bonded Labour Prevention and Investigation (MINIMUM REQUIREMENT)

Fishery Client confirms that there is no known bonded labour within the Fisher Group. Any prior reports of bonded labour by fishers or stakeholders are investigated and resolved so as to prevent recurrence.

Question:

Question: **1.2.1.1 - Can the client confirm that, to the best of their knowledge, there is currently no Known bonded labour within the fisher group?**
☐ Yes
☐ No

Question: **1.2.1.2 - Are there any known reports (within the last 5 years) of bonded labour within the fisher group?**
☐ Yes
☐ No

Question: **1.2.1.3 - Report any incidents and their outcomes:**

Clause Evaluation
1.2.2 Recruitment fees
Fishers are not required to pay recruitment fees.

Question:

Question: **1.2.2.1 - Do any fishers/employees, wishing to join the fisher group, pay any recruitment fees in order to secure a job?**
☐ Yes
☐ No

Clause Evaluation
1.2.3 No Mandatory Deposits for Employment
Fishers are not required to make deposits, whether financial or material, as a condition of their employment.

Question:

Question: **1.2.3.1 - Are fishers/employees ever required to make a deposit, financial or material, to secure their job?**
☐ Yes
☐ No

Clause Evaluation

1.2.4 Fair Access to Services Without Indebtedness
Access by fishers to services or facilities is provided on a reasonable and fair basis and does not contribute to significant indebtedness.

> Question:

> Question: **1.2.4.1 - Are fishers/employees required to pay for equipment/facilities/services relating to their job which causes them to be in continuing debt to their employers?**
> ☐ Yes
> ☐ No

Sub-section: 1.3 Control of personal documents

Clause Evaluation
1.3.1 Fishers' Control Over Personal Documents (MINIMUM REQUIREMENT)
Fishery Client confirms that fishers retain control of their personal documents. Any prior reports, by fishers or stakeholders, of documents being withheld are investigated and reported.

> Question:

> Question: **1.3.1.1 - Can the client confirm that fishers in the Fisher Group retain control of their personal documents?**
> ☐ Yes
> ☐ No

> Question: **1.3.1.2 - Are there any known reports (within the last 5 years) of documents being withheld within the fisher group?**
> ☐ Yes
> ☐ No

> Question: **1.3.1.3 - Report any incidents and their outcomes:**

Clause Evaluation
1.3.2 Fishers' Rights to Personal Document Control
Fishers retain full control of their personal documents. If fishers are asked to relinquish possession of their personal documents (e.g. for governmental processing or as a safekeeping measure), then the handling and return of those documents to the fishers

follows a defined procedure and time frame.

> Question:

> Question: **1.3.2.1 - If documents are handed to employers for reasons such as visa processing, emigration and safekeeping, then how is the return of these documents dealt with? Choose all that apply.**
> ☐ Documents may be held by employers for the fishers/employees (for legal and/or safe-keeping reasons) but a defined procedure for submission and return exists and is carefully followed
> ☐ Documents may be held by employers for the fishers/employees (for legal and/or safe-keeping reasons). A defined procedure for submission and return does not exists and uncertainty exists on the side of employees/fishers on the return of the documents.
> ☐ Documents are returned promptly once requested by fisher/ employee
> ☐ Documents are returned promptly to the owner, once legal requirements have been completed
> ☐ Documents are taken only from international fishers/employees as a registration process
> ☐ Documents are only taken as a means of safe-keeping and are always returned immediately on request
> ☐ Documents are only taken as a means of safe-keeping and are returned on request, though often with a prolonged delay
> ☐ Documents being held by employers have gotten lost
> ☐ Documents being held by employers for legal or safe-keeping reasons have been used coercively against the owners

Sub-section: **1.4 Harsh or inhumane treatment**

Clause Evaluation
1.4.1 No Harsh or Inhumane Treatment of Fishers (MINIMUM REQUIREMENT)
Fishery Client confirms that there is no harsh or inhumane treatment of fishers within the Fisher Group. Any prior reports of such by fishers or stakeholders are investigated and reported.

> Question:

> Question: **1.4.1.1 - Can the client confirm that there is no known harsh or inhumane treatment of fishers/employees within the fisher group?**
> ☐ Yes
> ☐ No

> Question: **1.4.1.2 - Are there any known reports (within the last 5 years) of harsh or inhumane treatment of fishers/employees?**

☐ Yes
☐ No

Clause Evaluation

1.4.2 Protection from Abuse, Harassment, and Coercion

Fishers are not subjected to corporal punishment, mental or physical coercion, bullying, harassment, including sexual harassment, or other abusive practices for any reason.

Question:

Question: **1.4.2.1 - Is there evidence of ongoing, systematic or widespread harsh or inhumane treatment of fishers within the Fisher Group?**
☐ Yes
☐ No

Sub-section: **1.5 Child labour**

Clause Evaluation

1.5.1 No child labour in the Fisher Group (MINIMUM REQUIREMENT)

Fishery Client confirms that there is no child labour within the Fisher Group. Any prior or ongoing reports of child labour by fishers or stakeholders are investigated and reported.

Question:

Question: **1.5.1.1 - Can the client confirm that there is no known child labour, on any of the vessels (or within any of the fishing operations) conducted by the fisher group?**
☐ Yes
☐ No

Question: **1.5.1.2 - Are there any known reports (within the last 5 years) of child labour within the Fisher Group?**
☐ Yes
☐ No

Question: **1.5.1.3 - Report any incidents and their outcomes:**

Clause Evaluation

1.5.2 Compliance with Minimum Age for Fishers

Fishers meet the minimum age for work as defined by applicable national legal requirements or the age of completion of compulsory education, whichever is higher. Minimum age is normally 16.

> Question:

> Question: **1.5.2.1 - What is the national or local minimum wage law or binding agreement determining minimum age for work? [If the age of completion of compulsory education is higher than this, then use this age.]**

> Question: **1.5.2.2 - Identify the youngest age at which fishers may enter the fishery.**

Clause Evaluation

1.5.3 Immediate Remediation of Child Labour Cases

Detection of child labour within the Fisher Group triggers immediate and effective remedial action by the Fishery Client or their agent. Remedial action puts the best interest of the child first and shall enable them to attend and remain in quality education until no longer a child. Incidents are recorded and remedy is demonstrated.

> Question:

> Question: **1.5.3.1 - Are the client committed to ensuring that any identification of or reporting of child labour within the fisher group operations will be dealt with immediately and that the safety and attention to the child will always be prioritized?**
> ☐ Yes
> ☐ No

Sub-section: **1.6 Welfare of young fishers**

Clause Evaluation

1.6.1 Protection of young fishers' Health and Safety

Young fishers are not given work assignments that are likely to be harmful to their health and safety.

> Question:

> Question: **1.6.1.1 - Are fishers less than 18 years old present in the Fisher Group?**

☐ Yes
☐ No

Question: **1.6.1.2 - Where young fishers are active within the fisher group, are these given work assignments which may be harmful to their health and safety?**
☐ Young fishers are instructed as to which tasks they should and should not undertake
☐ Young fishers do not normally work overnight
☐ Young fishers do not undertake work with a high degree of risk

Sub-section: **1.7 Freedom of association**

Clause Evaluation
1.7.1 Right to Workplace Dialogue Without Penalisation (MINIMUM REQUIREMENT)
Fishery Client confirms that fishers have the right to engage in dialogue about workplace issues without penalisation. Any prior reports, by fishers or stakeholders, of such rights being withheld are investigated and reported.

Question:

Question: **1.7.1.1 - Can the client confirm that fishers/employees, within the fisher group, are not known to be prevented from engaging in dialogue about workplace issues?**
☐ Yes
☐ No

Question: **1.7.1.2 - Have there been any previous reports (within the last 5 years) of fishers/employees being denied the right to engage in dialogue about workplace issues?**
☐ Yes
☐ No

Question: **1.7.1.3 - Record incident and their outcomes.**

Clause Evaluation
1.7.2 Right to Collective Bargaining and Freedom of Association
Fishers are free to exercise their right to collective bargaining and freedom of association without interference.

Question:

Question: **1.7.2.1 - Are fishers/employees and their representatives free to exercise their right to the following, according to national law? Choose all that apply.**
☐ Able to join Unions (where these exist)
☐ Able to join Fishers Associations (where these exist)
☐ Able to join Producers Organisations (where these exist)
☐ Unions, Associations or Organisations to represent fishers do not exist, but there are no obstacles to fishers establishing or joining these
☐ None of the above

Clause Evaluation
1.7.3 Alternative Dialogue Where Collective Bargaining Is Restricted
Where the right to freedom of association and collective bargaining is restricted under law, fishers or their representatives are able to engage in other forms of meaningful dialogues about workplace issues.

Question:

Question: **1.7.3.1 - Where national law restricts freedom of association and collective bargaining, are fishers/employees able to engage in other forms of meaningful dialogue about workplace issues? Are fishers able to:**
☐ Discuss issues directly with skippers/employers
☐ Discuss issues with other community leaders to effect change

Sub-section: **1.8 Grievance resolution**

Clause Evaluation
1.8.1 Commitment to Fisher Grievance Monitoring and Resolution (MINIMUM REQUIREMENT)
The Fishery Client and Fisher Group are committed to developing effective means to monitor and resolve fisher grievances.

Question:

Question: **1.8.1.1 - Can the client confirm that they are committed to ensuring a system for registering and resolving fisher/employee grievances is built and maintained?**
☐ Yes
☐ No

Clause Evaluation

1.8.2 Accessible and Confidential Fisher Grievance Mechanism

There is a mechanism in place, and made known to fishers, to address grievances of fishers. The mechanism: 1) is open and accessible to fishers and their representatives or organizations, 2) maintains confidentiality as appropriate, 3) prompts suitable investigations, 4) ensures there is no retaliation or discrimination against complainants, 5) where necessary, provides remedies that are adequate, effective and prompt (and, where relevant, are culturally appropriate and gender-sensitive).

> Question:

> Question: **1.8.2.1 - The grievance mechanism in place has the following attributes. Choose all that apply.**
> ☐ Is open and accessible to fishers and their representatives or organizations
> ☐ Maintains confidentiality as appropriate
> ☐ Prompts suitable investigations
> ☐ Ensures there is no retaliation or discrimination against complainants
> ☐ Has been communicated to all fishers
> ☐ Has never been used/has never been needed
> ☐ Has been used successfully to log grievances but has not brought about resolutions to those grievances
> ☐ Has been used successfully to log grievances and has brought about successful resolutions to those grievances

> Question: **1.8.2.2 - Where multiple languages are used within the fisher group, does the grievance mechanism cater for all languages?**
> ☐ Yes
> ☐ No

Sub-section: **1.9 Work agreement**

Clause Evaluation

1.9.1 Clarity and Agreement on Terms of Work (MINIMUM REQUIREMENT)

Fishery Client confirms that terms of work within the Fisher Group are understood and agreed by all parties. Any prior reports, by fishers or stakeholders, of fishers being required to work outside of agreed terms are investigated and reported.

> Question:

> Question: **1.9.1.1 - Can the client confirm that, as far as is known, all fishers/employees within the fisher group have agreed terms of work with their skipper/employer - either in writing or verbally?**

☐ Yes
☐ No

Question: **1.9.1.2 - Have there been any known reports (within the last 5 years) of fishers/employees being required to work outside of agreed terms of work?**
☐ Yes
☐ No

Clause Evaluation

1.9.2 Clear and Agreed Work Terms for Fishers

The terms and conditions of work done by fishers is covered under a work agreement that is understood by all parties (including any amendments). It may be an oral agreement or a written agreement in an appropriate language.

Question:

Question: **1.9.2.1 - What format are the work agreements in. Choose all that apply.**
☐ Written Agreements
☐ Partly written, partly verbal agreements
☐ Entirely verbal agreements

Question: **1.9.2.2 - Do fishers/vessel employees have a good understanding of their terms of work**
☐ Yes
☐ No

Clause Evaluation

1.9.3 Written Agreements for Migrant Workers and Long Trips

If workers are migrant and/or fishing trips are longer than 30 days, the agreement is documented in writing and fishers retain a copy.

Question:

Question: **1.9.3.1 - Are any fishing Trips longer than 30 days**
☐ Yes
☐ No

Clause Evaluation

1.9.4 Fair Termination with Reasonable Notice

Termination of the agreement, from either side, is allowed with reasonable notice and without penalisation.

> Question:

> Question: **1.9.4.1 - Are fishers/employees able to terminate their employment without penalty?**
> ☐ Yes
> ☐ No

> Question: **1.9.4.2 - What notice period is required to terminate an employment? (From employees and employers)**

Sub-section: 1.10 Wages, shares and benefits

Clause Evaluation

1.10.1 Timely and Fair Payment for Fishers (MINIMUM REQUIREMENT)

Fishery Client confirms that fishers are paid in accordance with the terms of work and in a timely manner.

> Question:

> Question: **1.10.1.1 - Can the client confirm that, as far as is known, all fishers/employees within the fisher group are paid as set out in their terms of work?**
> ☐ Yes
> ☐ No

Clause Evaluation

1.10.2 Transparent and Fair Payment Terms

Terms of payment of wages, shares and other benefits is clear and transparent. Fishers are paid regularly and in accordance with the terms of their work agreement. Currency exchange rates, if applicable, are reasonable and fair.

> Question:

> Question: **1.10.2.1 - Do fishers understand when and how much they will be paid (including share agreements)?**
> ☐ Yes
> ☐ No

Question: **1.10.2.2 - Is there at least one fisher employed (not in share agreement)?**
☐ Yes
☐ No

Question: **1.10.2.3 - If some or all fishers are employed (not in share agreement), is their terms of payment written down?**
☐ Yes
☐ No

Question: **1.10.2.4 - Are fishers paid according to their terms of payment**
☐ Yes
☐ No

Question: **1.10.2.5 - Are fishers paid in different currencies - so that exchange rates are applied?**
☐ Yes
☐ No

Question: **1.10.2.6 - Are exchange rates used in line with international markets and/or fluctuations may work to benefit of employer or employee (not biased to employer)?**
☐ Yes
☐ No

Clause Evaluation

1.10.3 Compliance with Legal and Fair Remuneration Standards

Remuneration meets or exceeds applicable national and local legal requirements and complies with collective bargaining agreements as applicable.

Question:

Question: **1.10.3.1 - Is there a national or local minimum wage law or binding agreement determining minimum wages for fishers/employees?**
☐ Yes
☐ No

Question: **1.10.3.2 - Are all fishers paid at least according to the minimum wage law or agreement**
☐ Yes
☐ No

Sub-section: **1.11 Control of earnings**

Clause Evaluation

1.11.1 Direct Payment to Fishers with Clear Deductions (MINIMUM REQUIREMENT)

Fishery Client confirms that fishers receive payments directly and any deductions are understood and agreed.

> Question:

> Question: **1.11.1.1 - Can the client confirm that, as far as is known, all fishers/employees within the fisher group are paid directly and they understand and agree to any deductions made?**
> ☐ Yes
> ☐ No

Clause Evaluation

1.11.2 Fishers Have Full Control Over Their Earnings

Fishers maintain full control over their earnings and they receive payments in a suitable manner.

> Question:

> Question: **1.11.2.1 - How do fishers/employees receive their payment?**
> ☐ In cash
> ☐ Payment into a nominated bank account
> ☐ In fish or in other non-cash substitutes

Clause Evaluation

1.11.3 No Unauthorized Deductions from Fisher's Pay

Deductions are not taken from the fisher's pay without their informed consent.

> Question:

> Question: **1.11.3.1 - Are deductions taken from Fisher/employee's pay?**
> ☐ Yes
> ☐ No

> Question: **1.11.3.2 - Provide details of any deductions and fisher agreement to these.**

Sub-section: **1.12 Working hours**

Clause Evaluation

1.12.1 Working Hours Comply with Legal Requirements

Hours of work meet applicable laws and regulations.

> Question:

> Question: **1.12.1.1 - Is there a national or local working hours law or a binding agreement determining working hours of fishers/employees?**
> ☐ Yes
> ☐ No

> Question: **1.12.1.2 - Do working hours comply with the national or local working hours law or a binding agreement determining working hours**
> ☐ Yes
> ☐ No

Clause Evaluation

1.12.2 Work Hours Are Agreed and Do Not Compromise Safety

Hours of work are understood by all and are agreed not to threaten health and safety.

> Question:

> Question: **1.12.2.1 - Are fishers clearly informed of their expected working times, such as in a work agreement?**
> ☐ Yes
> ☐ No

> Question: **1.12.2.2 - Is there evidence of working times presenting an ongoing risk to fishers/employees health and safety?**
> ☐ Yes
> ☐ No

Clause Evaluation

1.12.3 Adequate Rest Periods Ensure Fisher Safety

Fishers have rest periods sufficient to ensure their safety.

Question:

Question: **1.12.3.1 - Do fishers/employees typically have an equivalent of, as a minimum, 1 day off (ashore) in every 7 days?**
☐ Yes
☐ No

Sub-section: **1.13 No Discrimination**

Clause Evaluation
1.13.1 Equal Opportunities in Employment and Career Progression
There is no discrimination in hiring, compensation, access to training, promotion, termination or retirement based on race, gender, caste, national origin, religion, age, disability, marital status, sexual orientation, union membership or political affiliation.

Question:

Question: **1.13.1.1 - Is there any evidence of widespread or persistent discrimination in the Fisher Group?**
☐ Yes
☐ No

Section: **Health and Safety**

Sub-section: **Section Scope**

Question: **Is fishing carried out from fishing vessel(s)?**
☐ Yes
☐ No

Sub-section: **2.1 Prevention of accidents and injuries**

Clause Evaluation
2.1.1 Commitment to Fisher Group Health and Safety

Fishery Client commits to actions to maintain the health and safety of fishers within the Fisher Group.

> Question:

> Question: **2.1.1.1 Can the client confirm that they are committed to promote the health and safety of fishers within the Fisher Group?**
> ☐ Yes
> ☐ No

Clause Evaluation

2.1.2 Health and Safety Procedures Implemented and Verified Across Group

Health and safety procedures are in place to support implementation, monitoring and maintenance of relevant health and safety requirements throughout the Fisher Group. Procedures shall be verified by the Fishery Client or designated representative or authority.

> Question:

> Question: **2.1.2.1 Are there existing procedures (legal or voluntary) in place to support health and safety throughout the Fisher Group (in terms of implementation, monitoring and maintenance of health and safety measures)?**
> ☐ Legal procedures are in place and apply throughout the Fisher Group.
> ☐ Some legal and some voluntary procedures are in place and apply throughout the Fisher Group.
> ☐ Voluntary procedures are in place and apply throughout the Fisher Group.
> ☐ No procedures in place
> ☐ Not known

> Question: **2.1.2.2 How are health and safety risks evaluated?**
> ☐ Documented risk assessment
> ☐ Informal risk-assessment routinely carried out by identified 'responsible people'
> ☐ Not evaluated
> ☐ Don't know

Clause Evaluation

2.1.3 Skippers Identify and Manage Health and Safety Risks

Skippers/operators shall assess potential threats to fisher health and safety, including hazards specific to the fishing operations,

and implement effective measures to address these risks.

> Question:

> Question: **2.1.3.1 Who is responsible for evaluating health and safety risks within the Fisher Group?**
> ☐ Company representatives/owners
> ☐ Skippers/operators
> ☐ A nominated Health and Safety officer
> ☐ Don't know

> Question: **2.1.3.2 How often are measures taken to reduce or eliminate risks to health and safety?**
> ☐ No at all, or only in response to serious accidents and only for the directly affected fishers
> ☐ In some parts of the Fisher Group whenever a risk to health and safety is observed. In some parts of the Fisher Group this may not happen
> ☐ In any part of the Fisher Group whenever a risk to health and safety is observed. Measures may only be implemented in the vessel affected
> ☐ Operating procedures throughout the Fisher Group are formally modified in response to and incidents or accidents

Clause Evaluation

2.1.4 Accidents Investigated and Corrective Actions Implemented

Where accidents and incidents occur, these shall be investigated to determine the cause and appropriate corrective action(s) put in place to prevent its recurrence. Investigations shall be recorded and corrective actions demonstrated.

> Question:

> Question: **2.1.4.1 Have there been reports of significant accidents or incidents in the last 3 years?**
> ☐ Yes
> ☐ No

> Question: **2.1.4.2 Were reports of significant accidents or incidents investigated and corrective measures put in place?**
> ☐ Yes
> ☐ No

> Question: **2.1.4.3 Are there formal or informal mechanism(s) for sharing lessons and preventative actions within the Fisher Group?**
> ☐ Yes

☐ No

Sub-section: **2.2 Dealing with accidents and injuries**

Clause Evaluation

2.2.1 Emergency Medical Information Available to Skippers and Fishers

Information is available to skippers/fishers on contact details and locations of emergency medical facilities available in the event of serious accidents and injuries.

Question:

Question: **2.2.1.1 Are contact details and locations of emergency medical facilities known and available in the event of serious accidents and injuries?**

☐ Yes
☐ No
☐ Don't know

Clause Evaluation

2.2.2 Employer Covers Emergency Treatment Costs for Crew

Costs of emergency treatment of crew are met by the employer.

Question:

Question: **2.2.2.1 Are the costs of emergency treatment of crew met by the employer?**

☐ Yes
☐ No
☐ Not applicable
☐ Don't know

Sub-section: **2.3 Staffing of vessels**

Clause Evaluation

2.3.1 Client Ensures Vessels Meet Staffing and Qualification Laws

Fishery Client commits to vessels meeting applicable laws and regulations for minimum staffing levels and skipper and crew

qualifications.

> Question:

> Question: **2.3.1.1 Are there regulations on staffing level and skipper and crew qualifications applicable to the fisher group? (Check all that apply)**
> ☐ Yes on staffing levels
> ☐ Yes on skipper qualifications
> ☐ Yes on crew qualifications
> ☐ No

> Question: **2.3.1.2 To the best of your ability, do you (the Fishery Client) commit to have vessels within the Fisher Group comply with the above laws and regulations?**
> ☐ Yes
> ☐ No

Clause Evaluation

2.3.2 Vessel Owners Comply with Staffing and Qualification Laws

Vessel owners comply with applicable laws and regulations for minimum staffing levels and skipper and crew qualifications.

> Question:

> Question: **2.3.2.1 Do vessel owners comply with applicable laws and regulations for minimum staffing levels?**
> ☐ Yes
> ☐ No
> ☐ Don't know

> Question: **2.3.2.2 Do vessel owners comply with applicable laws and regulations for skipper and crew qualifications?**
> ☐ Yes
> ☐ No
> ☐ Don't know

Clause Evaluation

2.3.3 Crew Identification Mechanism in Place for All Operations

There is a mechanism in place, such as a crew list[1], that identifies all persons onboard fishing vessels or taking part in fishing

operations.

> Question:

> Question: **2.3.3.1 Is there a mechanism in place (such as a crew list or similar document) that identifies all persons onboard fishing vessels or involved in fishing operations? (Select all that apply)**
> ☐ Yes, it is known to a person on board, but not recorded
> ☐ Yes, it is recorded on a paper list
> ☐ Yes, it is recorded on a software/app
> ☐ Other (please specify in comments box)
> ☐ Yes, it is held on-shore
> ☐ Not available
> ☐ Don't know

Sub-section: **2.4 Fishing Vessels**

Clause Evaluation
2.4.1 Client Confirms Vessel Seaworthiness
Fishery Client confirms that vessels are seaworthy.

> Question:

> Question: **2.4.1.1 To the best of your knowledge, are vessels within the Fisher Group considered seaworthy?**
> ☐ Yes
> ☐ No

Clause Evaluation
2.4.2 Vessels Seaworthy and Fit for Purpose in Size and Equipment
Fishing vessels are seaworthy and fit for purpose in terms of their size, design and equipment.

> Question:

> Question: **2.4.2.1 Are there regulations to ensure vessel seaworthiness? (Select all that apply)**
> ☐ Yes - Construction requirements
> ☐ Yes - Vessel Inspections

☐ Yes - Vessel and equipment inspections
☐ No
☐ Don't know

Question: **2.4.2.2 Are vessels meeting the regulations requirements to ensure vessel seaworthiness?**
☐ Yes
☐ Partially
☐ No
☐ Don't know

Question: **2.4.2.3 If there are no regulations to ensure vessel seaworthiness, are there other mechanism(s) to ensure they are fit for purpose?**
☐ Yes
☐ No
☐ Don't know

Sub-section: **2.5 Accommodation on fishing vessels**

Clause Evaluation
2.5.1 Accommodation Conditions
Conditions on the fishing vessel are safe and hygienic.

Question:

Question: **2.5.1.1 Are there female workers in the Fisher Group?**
☐ Yes
☐ No
☐ Don't know

Question: **2.5.1.2 Are accommodations on vessels considered sufficiently safe and hygienic so as not to threaten crew health?**
☐ Yes
☐ No
☐ Don't know

Clause Evaluation

2.5.2 Fisher Accommodation Meets Size and Furnishing Requirements

Fisher accommodation spaces are of sufficient size and appropriately furnished for the nature and duration of fishing operations.

> Question:

> Question: **2.5.2.1 Is adequate space provided for sleeping areas?**
> ☐ Yes
> ☐ No
> ☐ Don't know

> Question: **2.5.2.2 Are sleeping quarters appropriately equipped?**
> ☐ Yes
> ☐ No
> ☐ Don't know

Clause Evaluation

2.5.3 Separate Accommodation Provided for Female Crew Members Where Possible

Where relevant, and as far as possible, separate spaces should be provided for female crew members.

> Question:

> Question: **2.5.3.1 Are separate accommodation spaces made available for female crew?**
> ☐ Yes
> ☐ No
> ☐ Don't know

Sub-section: **2.6 Work environment**

Clause Evaluation

2.6.1 Client Ensures Fishers Receive Sufficient Food and Water

Fishery Client confirms that fishers receive sufficient food and water.

> Question:

Question: **2.6.1.1 To the best of your knowledge, do fishers have access to sufficient food and potable water on vessels within the Fisher Group?**
☐ Yes
☐ No

Clause Evaluation

2.6.2 Fishers Provided with Sufficient Food, Water, and Religious Needs

Fishers are provided with sufficient quantities of potable water and food of adequate nutritional value and meet any religious requirements of the crew. Food storage locations shall be clean and hygienic.

Question:

Question: **2.6.2.1 Are fishers provided with sufficient quantities of potable water and food of adequate nutritional value?**
☐ Sufficient water
☐ Sufficient Food
☐ Insufficient Water
☐ Insufficient Food
☐ Don't know

Question: **2.6.2.2 Are any religious requirements of the crew met?**
☐ Yes
☐ No
☐ Don't know

Clause Evaluation

2.6.3 Vessels Have Adequate Sanitary Facilities with Privacy

Fishing vessels have adequate sanitary facilities with appropriate privacy.

Question:

Question: **2.6.3.1 Are food storage locations appropriate and hygienic? (Select all that apply)**
☐ Yes, storage has sufficient capacity
☐ Yes, storage areas are dry
☐ Yes, storage areas are cool or refrigerated

☐ No
☐ Don't know

Clause Evaluation

2.6.4 Sanitary Facilities on Vessels Consider Female Crew Needs

Where relevant, and as far as possible, sanitary facilities on vessels should take into account the needs of female crew members.

Question:

Question: **2.6.4.1 Do fishing vessels have adequate sanitary facilities with appropriate privacy?**
☐ Toilets, washbasins, showers appropriate to the size of vessel and length of trips
☐ Adequate water is available for washing
☐ Toilets are fitted with ventilation
☐ Facilities allow reasonable privacy
☐ Sanitary facilities take account of needs of female crew

Question: **2.6.4.2 Are the needs of women crew members met?**
☐ Yes
☐ No
☐ Don't know

Sub-section: 2.7 Health and safety training

Clause Evaluation

2.7.1 Client Ensures Health and Safety Information is Available to Fishers

Fishery Client confirms that essential health and safety information is made available to fishers within the Fisher Group.

Question:

Question: **2.7.1.1 To the best of your knowledge, can you confirm that essential health and safety information is made available to fishers to ensure serious or fatal injuries are avoided?**
☐ Yes
☐ No

Clause Evaluation

2.7.2 Fishers Receive Relevant Training for Safe Operations and Equipment Use

Fishers receive sufficient training or instruction - in an understandable form and relevant to their operational assignments – so as to ensure safe working, including the use of onboard equipment.

Question:

Question: **2.7.2.1 How often do fishers receive training, induction or instruction to ensure safe working (including onboard equipment)?**

☐ Formal induction and training when start employment plus regular updates
☐ Informal induction, ongoing supervision and some formal training
☐ Informal induction and training, and ongoing supervision
☐ Informal induction only
☐ Always whenever new equipment or practices are introduced
☐ When major changes in equipment or fishing practices occur

Clause Evaluation

2.7.3 Training is Effective, Timely, and Updated for Operational Changes

Operational and safety training or instruction is effective, understandable, timely and repeated as required. Training updates are provided when there are significant changes in fishing operations or changes in crew composition.

Question:

Question: **2.7.3.1 Is training or instruction effective and understandable?**

☐ Induction and/or training covers all aspects of work
☐ Induction and/or training covers the main aspects of work
☐ Training covers some aspects of work
☐ Training is in language(s) of all crew
☐ Training is in language(s) of most or some of crew

Question: **2.7.3.2 Does training specifically include use of PPE and PFDs, emergency procedures, fire safety, rescue and evacuation?**

☐ Use of personal protective equipment
☐ Use of personal flotation devices (such as lifejackets)

☐ Emergency procedures (such as man overboard)
☐ Fire safety and fire fighting
☐ Evacuation and survival precautions
☐ First aid (at least one person per vessel/group)

Sub-section: **2.8 Safety equipment**

Clause Evaluation

2.8.1 Ready access of Personal Protective Equipment (PPE) and Personal Flotation Devices (PFDs)

Fishers have ready access to appropriate safety equipment including Personal Protective Equipment (PPE) and Personal Flotation Devices (PFDs); First aid equipment, appropriate for the types of accidents, injuries and illnesses most likely to occur; Firefighting equipment, emergency evacuation equipment and survival gear.

Question:

Question: **2.8.1.1 Do fishers have ready access to appropriate safety equipment including: (Check all that apply)**
☐ Personal Protective Equipment (PPE) (such as waterproof gear, gloves and footwear, head protection)
☐ Personal Flotation Devices (PFDs) (such as bouyancy aid, lifejacket)
☐ Other PPE for specific tasks (such as safety lines, ear protection, goggles)
☐ First aid equipment
☐ Radio and Communication equipment
☐ Firefighting equipment
☐ Emergency evacuation equipment (such as liferafts)
☐ Alarms
☐ Bilge pumps

Clause Evaluation

2.8.2 Safety Equipment Maintenance

Safety equipment is appropriately maintained.

Question:

Question: **2.8.2.1 Are there regulations and/or inspections to ensure safety equipment maintenance?**
☐ Yes - Personal Protective Equipment

☐ Yes - Emergency Equipment
☐ Yes - First Aid Equipment
☐ Yes - Radio / Communications
☐ No
☐ Don't know

Clause Evaluation

2.8.3 Regulatory Requirements

Vessels meet regulatory requirements for registration and inspection of fishing vessel and onboard equipment including radio and satellite communication devices.

Question:

Question: **2.8.3.1 Do vessels have certification/registration in line with regulatory requirements?**
☐ Yes
☐ No
☐ Don't know

Section: **Community Development**

Sub-section: **3.1 Fishing rights**

Clause Evaluation

3.1.1 Reporting on fishing rights, protections, and access for all groups.

The Fishery Client shall report on fishing rights held by the Fisher Group and any legal or other protection of such rights. Reporting should include any specific distribution of rights to women, vulnerable and marginalized groups and indigenous peoples or structural barriers that prevent access to rights for fishers in general or specific groups in particular.

Question:

Question: **3.1.1.1 What types of fishing rights are held by the Fisher Group? (Select all that apply)**
☐ Individually-allocated fishing rights

☐ Territorial Use Rights for Fishing
☐ Cooperative Fishing Rights
☐ Government-issued permits/licenses
☐ Customary rights
☐ No formal fishing rights

Question: **3.1.1.2 Are there any legal or other protection of such rights? (Select all that apply based on answer from 3.1.1.1)**
☐ Yes - protected by law
☐ Yes - protected by local or customary law
☐ No - but efforts are underway
☐ No legal protection at all
☐ Not known

Question: **3.1.1.3 Are fishing rights distributed equally within the Fisher Group?**
☐ Yes
☐ No

Question: **Please explain how fishing rights are distributed among fishers**

Question: **3.1.1.4 Are fishing rights withheld from any of these groups?**
☐ Women
☐ Vulnerable and marginalized groups
☐ Indigenous peoples
☐ Rights are not withheld from any groups

Question: **3.1.1.5 Are there barriers that prevent access to rights for fishers in general or specific groups in particular?**
☐ Legal barriers
☐ Cultural or traditional barriers
☐ Economic barriers
☐ No known barriers

Sub-section: **3.2 Trade related benefits**

Clause Evaluation
3.2.1 Reporting on trade benefits and equitable distribution within the group.

The Fishery Client shall report on opportunities and benefits from trade in fishery products within the Fisher Group. Reporting shall include how far these are appropriately and equitably distributed [1] , including for women, vulnerable and marginalized groups and indigenous peoples.

Question:

Question: **3.2.1.1 Do fishers in the Fisher Group have access (directly or indirectly) to: (Select all that apply)**
☐ Local markets
☐ National markets distant from fishing ports
☐ International markets

Question: **3.2.1.2 How do fishers in the Fisher Group sell their catch? (Select all that apply)**
☐ At auction
☐ To a trader/middleman
☐ Direct to processor/exporter
☐ Direct to retailer/restaurant etc
☐ Direct to consumer

Question: **3.2.1.3 Do all fishers within the Fisher Group have the same opportunity to sell their catch at the same prices?**
☐ Yes
☐ No

Question: **Please explain why some fishers don't have the same opportunities.**

Question: **3.2.1.4 Are opportunities to sell catch withheld from any of these groups?**
☐ Women
☐ Vulnerable and marginalized groups
☐ Indigenous peoples
☐ Opportunities are not withheld from any of these groups

Sub-section: **3.3 Financial services**

Clause Evaluation
3.3.1 Reporting on financial service availability and access for all members.
The Fishery Client shall report on the availability of financial services to Fisher Group members. Where necessary, information and/or access is facilitated to financial services, including access for women, other vulnerable and marginalized group and

indigenous peoples.

Question:

Question: **3.3.1.1 What types of financial services are available to the Fisher Group members? (Select all that apply)**
☐ Access to credit and loans
☐ Access to financial planning assistance
☐ Access to alternative sources of finance
☐ Limited access to financial services

Question: **3.3.1.2 Is access to financial services facilitated for any of these groups?**
☐ Women
☐ Vulnerable and marginalized groups
☐ Indigenous peoples
☐ Access in not facilitated to any of these groups

Section: **Recognised Species**

Sub-section: **Section scope**

Question: **4.0.1.1 - What is the main (managed or target) species caught? - Common name**

Question: **4.0.1.2 - What is the main (managed or target) species caught? - Scientific name**

Question: **4.0.1.3 - What is the amount of species sold or caught (MT)?**

Question: **4.0.1.4 - Are there other species (usually of commercial value) that you wish to include within the Community Catch evaluation?**
☐ Yes
☐ No

Question: **4.0.1.5 - Other species details**

Question: **4.0.1.6 - Is there any enhancement activity for any of the Recognised Species stocks?**
☐ No
☐ Modification of habitat
☐ Release of recruits from hatchery
☐ Release of recruits from other locations
☐ Other enhancement

Sub-section: **4.1 Fishery objectives**

Clause Evaluation
4.1.1 Defined Fishery Objectives for Long-Term Sustainability (MINIMUM REQUIREMENT)
Objectives for the fishery have been defined. The objectives include at least one related to achieving long-term sustainability of the managed stock(s).

Question:

Question: **4.1.1.1 Identify the objective(s) for the fishery (which should act, directly or indirectly to maintain the long-term sustainability of the managed stock(s))**
☐ Objectives are defined in a fishery or species management document
☐ Objectives are outlined in regional, national or local management documents
☐ Objectives are defined in stock assessment advice
☐ Objectives are determined and understood by all parties; these may be in a co-management agreement or similar

Clause Evaluation
4.1.2 Fishery Objectives Include Long-Term Sustainability Goals
Harvest objectives for managed stock(s) are consistent with a Maximum Sustainable Yield (MSY) target.

Question:

Question: **4.1.2.1 Are the objectives for the fishery linked to maintaining the managed stock at a level consistent with a Maximum Sustainable Yield (MSY) target?**
☐ Objectives include maintaining target species (one species) at Maximum Sustainable Yield (MSY) - Biomass (Bmsy) or Effort (Fmsy)
☐ Objectives include maintaining more than one species at MSY
☐ Objectives include use of proxies for MSY, such as CPUE, yield per recruit, average length etc (please specify in comment

box)
☐ Objectives include use of other sustainability objectives such as Maximum Economic Yield
☐ Objectives include multispecies management of all species above a target level

Clause Evaluation
4.1.3 Enhancement Activities Must Not Compromise Stock Targets
In enhanced fisheries, enhancement activities shall not compromise targets for the managed or wild stock or equivalent targets for wild stocks from which organisms for stocking are being removed.

Question:

Question: **4.1.3.1 Describe how fishery management ensures that enhancement activities do not hinder achievement of objectives for the managed stock**

Sub-section: 4.2 Fishery decision-making and management controls

Clause Evaluation
4.2.1 Decision-Making System Supports Fishery Management and Improvement (MINIMUM REQUIREMENT)
A decision-making system is in place, capable of initiating, when required, management controls, additional monitoring and/or research to improve fishery performance.

Question:

Question: **4.2.1.1 Which of the following best describes the decision-making system relevant to the fishery?**
☐ National and International fishery management organisations manage the fishery
☐ A National fishery management organisation manages the fishery
☐ A Local fishery management organisation manages the fishery
☐ Fishery co-management (fishers and officials or other organisations)
☐ Actions by fishermen alone in response to changes in catches

Question: **4.2.1.2 Is the management able to implement one or more of the following If Required?**
☐ Species quotas
☐ Changes or limits in numbers of fishing licences/permits issued
☐ Restrictions on numbers of fishers or vessels
☐ Changes in allowed Fishing days

☐ Regulation of vessel size or engine size/power
☐ Closures of certain areas to fishing: permanent or temporary
☐ Restrictions on amount of gear used
☐ Restrictions on types of gear used
☐ Additional monitoring, investigation or research

Clause Evaluation

4.2.2 Effective Decision-Making for Fishery Objectives

The decision-making system is (or is expected) to be effective in achieving the fishery objectives.

Question:

Question: **4.2.2.1 Which of the following best describes the decision-making system relevant to the fishery?**
☐ The management organisation has previously implemented effective fishing controls in reaction to changes in the stock status
☐ The management organisation has not implemented fishing controls, but a clear strategy is in place which is expected to be effective.

Clause Evaluation

4.2.3 Precautionary Approach in Decision-Making

Decision-making adopts the precautionary approach where relevant.

Question:

Question: **4.2.3.1 Which of the following best describes the use of the precautionary approach by the management organisation?**
☐ The precautionary approach is included within national legislation, fishery management procedures or other documentation relevant to the fishery.
☐ The precautionary approach is not specifically referenced, but there is evidence from the previous management actions, or in management plans, to show that the same intent and outcome will be achieved.

Sub-section: **4.3 Scientific advice and monitoring**

Clause Evaluation

4.3.1 Technical Advice and Performance Monitoring for Decision-Making (MINIMUM REQUIREMENT)
Some technical or other advice is being provided that is suitable to support the decision-making system. This advice includes monitoring of at least one performance indicator for the fishery that is suitable to inform decision-making.

> Question:

> Question: **4.3.1.1 Is there an organisation providing some monitoring of the fishery and/or managed stock(s) and providing advice on fishery management based on this monitoring?**
> ☐ Fishery management organisation or scientific/technical body/institute
> ☐ Other official organisation(s)
> ☐ Fisher organisation
> ☐ Unofficial organisation(s) such as NGOs
> ☐ Fishery co-management organisation

> Question: **4.3.1.2 Does this organisation monitor at least one indicator of the status of the fishery and/or managed stock?**
> ☐ Yes
> ☐ No

Clause Evaluation
4.3.2 Regular Estimation of Quantitative Performance Indicators
One or more quantitative and/or verified qualitative indicators to support the fishery objectives are estimated regularly, based on sufficient data-collection, and have been shown to be good measure(s) of performance (e.g. in monitoring stock status).

> Question:

> Question: **4.3.2.1 What types of information are provided?**
> ☐ Number of crew
> ☐ Type(s) of gear used
> ☐ Amount of gear used
> ☐ Fishing locations
> ☐ Catches of some species
> ☐ Catches of all species
> ☐ Discards
> ☐ Research projects/studies including the fishery - once, occasional or regular

Question: **4.3.2.2 What is the frequency for collection/provision of information?**
☐ Daily
☐ Weekly
☐ Monthly
☐ Don't know

Clause Evaluation
4.3.3 Evaluation of Uncertainty in Performance Indicators
Uncertainty in the indicators is evaluated such that it can be considered in the management of risk.

Question:

Question: **4.3.3.1 Are there significant uncertainties in the indicators used in monitoring the fishery and/or stock. If so, provide details of how these uncertainties are being considered when evaluating information used in the fishery/stock monitoring.**
☐ Yes
☐ No

Question: **4.3.3.2 Are all managed species identified separately, or are some grouped together during catch reporting/monitoring?**
☐ All identified
☐ Some grouped
☐ Don't know

Sub-section: **4.4 Status of stock(s)**

Clause Evaluation
4.4.1 Low Risk of Stock Depletion Below Recruitment Impairment (MINIMUM REQUIREMENT)
There is a low risk that any monitored stock(s) are being depleted below their point of recruitment impairment as determined through stock assessment, risk assessment and/or independent expert evaluation.

Question:

Question: **4.4.1.1 Is the managed stock (or stocks if there are multiple stocks being monitored in the fishery) at low risk of being below its Point of Recruitment Impairment?**

☐ Yes
☐ No

Clause Evaluation

4.4.2 Fishery Meets Objectives or Has an Effective Rebuilding Plan

The fishery is achieving the target performance against its objectives; the managed stock(s) are within their target region, OR a time-bound rebuilding plan, including sufficient monitoring to demonstrate effectiveness, is in place, which is expected, or is being demonstrated, to rebuild the managed stock(s) within the specified timeframe.

Question:

Question: **4.4.2.1 Is the monitored stock (or stocks if there are multiple stocks being monitored in the fishery) at or around its harvest objective region (as set out in 4.1.2 this region would be consistent with MSY)?**
☐ Yes
☐ No
☐ Don't know

Question: **4.4.2.2 Is there a rebuilding plan in place which is expected to rebuild the managed stock to its objective (consistent with MSY) region within a specified timeframe?**
☐ Yes
☐ No
☐ Don't know

Clause Evaluation

4.4.3 Commercial Stocks at Low Risk of Recruitment Impairment

All stocks of Recognised Species are at low risk of being depleted below their Point of Recruitment Impairment (PRI).

Question:

Question: **4.4.3.1 Are all 'recognised' commercial species identified likely to be above their Point of Recruitment Impairment (identify this for each species listed in Question 4.0.1.5)?**
☐ Yes
☐ No
☐ Don't know

Sub-section: **4.5 Key prey species**

Clause Evaluation

4.5.1 Key Prey Stock Maintained Above Target Level (MINIMUM REQUIREMENT)

If the managed stock (or in a multi-species fishery the 'target' stock) is a key prey species, this is at LOW risk of being depleted below its target level.

> Question:

> Question: **4.5.1.1 Is the managed stock a 'key prey species'**
> ☐ Yes
> ☐ No

> Question: **4.5.1.2 Is the managed stock (or target stock if it is a multispecies/mixed fishery) at low risk of being below its harvet objective region (equivalent to MSY)?**
> ☐ Yes
> ☐ No

Clause Evaluation

4.5.2 Key Prey Stock Managed for Sustainability

If the managed stock (or in a multi-species fishery the 'target' stock) is a key prey species, it shall have management objectives consistent with maintaining at least a Maximum Sustainable Yield (MSY) target level.

> Question:

> Question: **4.5.2.1 Do the objectives for the fishery explicitly aim to maintain the managed stock at a level at least consistent with a Maximum Sustainable Yield (MSY) target?**
> ☐ Yes
> ☐ No
> ☐ Don't know

Clause Evaluation

4.5.3 Key Prey Stock Maintained Above MSY Levels

Management of the stock is at least achieving the target performance against its objectives – the key prey species shall be, and

is expected to remain, above a level equivalent to MSY.

> Question:

> Question: **4.5.3.1 Is the managed stock at or above its harvest objective region (as set out in 4.5.2)?**
> ☐ Yes
> ☐ No
> ☐ Don't know

Clause Evaluation
4.5.4 Fisher Group Activities Do Not Harm Dependent Predator Populations
The scale, intensity and distribution of Fisher Group activities shall not be expected to cause severe adverse effects on populations of dependent predators: either through fishing of key prey species to levels below precautionary levels or through localised depletion of key prey species around sites and at times vital to dependent predators

> Question:

> Question: **4.5.4.2 Does fishing take place in areas adjacent to breeding sites - such as for marine mammals (seals, sea lions) or sea birds?**
> ☐ Yes
> ☐ No
> ☐ Don't know

> Question: **4.5.4.3 Has an evaluation (scientific investigation or expert opinion) estimated the effects of localised fishing around breeding sites and found these to be within acceptable limits?**
> ☐ Yes
> ☐ No
> ☐ Don't know

Section: **Biodiversity**

Sub-section: **Section Scope**

Question: **5.0.1.1 Are floating Fish Aggregating Devices (FADs) used in the Fisher Group?**
☐ Yes
☐ No

Question: **5.0.1.2 Are anchored FADs used in the Fisher Group?**
☐ Yes
☐ No

Sub-section: 5.1 Threatened species: information

Clause Evaluation

5.1.1 Assessment of Fisher Group's Impact on threatened species (MINIMUM REQUIREMENT)

The potential for the Fisher Group to affect Threatened Species is estimated based on the proximity of threatened species to fishing operations and the possibility of capture, entanglement or other interaction causing injury.

Question:

Question: **5.1.1.1 Which of the following best describes the potential interaction of the fishing gear with threatened species?**
☐ Any interactions of fishing gear with threatened species is extremely unlikely (e.g. hand-gathering, pole and line, troll lines)
☐ Interactions of gear with threatened species is possible, but would be very rare (e.g. handline, dredge)
☐ Interactions of gear with threatened species is likely to occur, but affecting low numbers (e.g. most pot, demersal trawl, non-FAD purse-seine, Lampara net, Danish/Scottish seine, ring nets)
☐ Interactions of gear with threatened species is likely to occur, numbers affected may be large or could be large proportions of affected populations (e.g. gillnets, trammel nets, FAD-set purse-seine, bottom-set longlines, floating longlines)

Question: **5.1.1.2 Does the gear used by the Fisher Group have any catches of, or injure/kill any whales/dolphin/porpoise (IUCN VU, EN or CR)?**
☐ Yes
☐ No
☐ Don't know

Question: **5.1.1.3 Does the gear used by the Fisher Group have any catches of, or injure/kill any seals/Sea lions/other mammals (e.g. otters, dugongs) (IUCN VU, EN or CR)?**
☐ Yes
☐ No
☐ Don't know

Question: **5.1.1.4 Does the gear used by the Fisher Group have any catches of, or injure/kill any seabirds or waterbirds (IUCN VU, EN or CR)?**
☐ Yes
☐ No
☐ Don't know

Question: **5.1.1.5 Does the gear used by the Fisher Group have any catches of, or injure/kill any reptiles (such as turtles, snakes) or amphibians (frogs, toads) (IUCN VU, EN or CR)?**
☐ Yes
☐ No
☐ Don't know

Question: **5.1.1.6 Does the gear used by the Fisher Group have any catches of, or injure/kill any endangered Elasmobranchs (such as sharks, skates, rays, guitarfish, wedgefish) (IUCN EN or CE)?**
☐ Yes
☐ No
☐ Don't know

Question: **5.1.1.7 Does the gear used by the Fisher Group have any catches of, or injure/kill any endangered fish, including seahorses (IUCN CE)?**
☐ Yes
☐ No
☐ Don't know

Clause Evaluation

5.1.2 Ongoing Assessment of Gear Impact on Threatened Species

There is sufficient, ongoing information on: 1) the nature of gear used and ; 2) the overlap of Fisher Group gear use with populations of Threatened Species to reliably estimate the risk of capture, entanglement or other interaction causing injury/mortality. Information about the local situation may be complemented by information from similar situations elsewhere.

Question:

Question: **5.1.2.1 Is the gear used and the areas in which the fishery operates known?**
☐ Yes
☐ No

☐ Don't know

Question: **5.1.2.2 Is there information on the presence of those threatened species of animals which may interact with the gear (whales, seals, seabirds etc) within the area in which the fishery operates**
☐ Yes
☐ No
☐ Don't know

Question: **5.1.2.3 Is there an established global risk assessment, or consistent studies elsewhere on the type of gear used that demonstrate it to be a low risk to threatened species**
☐ Yes
☐ No
☐ Don't know

Clause Evaluation

5.1.3 Independent Verification of Fisher Group Impact Data

Information shall be confirmed by quantitative data relevant to the Fisher Group or verification by one or more independent sources, either quantitative and/or qualitative.

Question:

Question: **5.1.3.1 Is one or more of the following available:**
☐ Fishery information on numbers of interactions such as observers, logbooks or video
☐ Scientific studies on the fishery or directly INDEPENDENT_EVALUATION
☐ Independent expert testimony, based on experience of the fishery or directly similar situations elsewhere.
☐ Risk assessment relevant to the Fisher Group

Clause Evaluation

5.1.4 Low Risk Determination for Threatened Species Impact

If sufficient information is available to show that threatened species would not normally be killed or injured by gear used in the Fisher Group OR the Fisher Group is a LOW risk to threatened species, then remaining threatened species indicators are N/A.

Question:

Question: **5.1.4.1 Is there evidence that the Fisher Group/Gear would not kill or injure threatened species at any levels that**

could threaten affected populations; OR a risk assessment shows LOW Risk
☐ Yes
☐ No
☐ Don't know

Sub-section: **5.2 Threatened species: evaluation**

Clause Evaluation
5.2.1 Threatened Species Impact Within Regulatory Limits or Low Risk (MINIMUM REQUIREMENT)
Mortalities are expected to be within specified regulatory limits; or a risk assessment shows the Fisher Group is not a HIGH risk to threatened species.

Question:

Question: **5.2.1.1 Are mortalities/injuries of threatened species, caused by the Fisher Group, expected/likely to be within specified regulatory limits; OR does a risk assessment show MEDIUM risk from the Fisher Group**
☐ Yes
☐ No
☐ Don't know

Clause Evaluation
5.2.2 Threatened Species Mortalities Within Limits or Medium Risk
Mortalities are within specified regulatory limits; or Fisher Group is no more than a MEDIUM risk to threatened species.

Question:

Question: **5.2.2.1 Are mortalities/injuries of threatened species, caused by the Fisher Group, demonstrably within specified regulatory limits; OR does a risk assessment show MEDIUM risk from the Fisher Group?**
☐ Yes
☐ No
☐ Don't know

Sub-section: **5.3 Threatened Species: Management**

Clause Evaluation

5.3.1 Measures in Place to Prevent Impact on Species Recovery

Measures (formal or informal management actions) shall be in place, or implemented, to reduce mortalities such that the activities of the Fisher Group would not substantially reduce recovery of the affected species/population.

> Question:

> Question: **5.3.1.1 Are any regulations or voluntary measures in place to protect Threatened species affected by the Fisher Group?**
> ☐ Yes
> ☐ No
> ☐ Don't know

> Question: **5.3.1.2 Have these measures been shown to be effective in reducing mortalities from the Fisher Group to a level that would not substantially reduce the recovery of affected populations?**
> ☐ Yes
> ☐ No
> ☐ Don't know

Sub-section: **5.4 Bycatches: information**

Clause Evaluation

5.4.1 Bycatch Species and Catch Estimates Are Known (MINIMUM REQUIREMENT)

The common bycatch species of the Fisher Group gear type are known, quantities or proportions of the catch can be estimated.

> Question:

> Question: **5.4.1.1 Is there information on the most common bycatch species caught by the Fisher Group?**
> ☐ Yes
> ☐ No
> ☐ Don't know

> Question: **5.4.1.2 Is there information on the weight, number or estimated proportion (such as a percentage of the total catch) of these common bycatch species in the total catch?**
> ☐ Yes

☐ No
☐ Don't know

Clause Evaluation

5.4.2 Sufficient Data Identifies Main Bycatch Species and Catch Proportions

There is sufficient, ongoing information on bycatches to identify those 'main' species which comprise a substantial proportion [over 5% on average] of the total catch (including estimates of discards). Information can be confirmed by quantitative and/or qualitative data or verification by one or more independent sources.If sufficient information is available to show that no individual bycatch species comprise >5% of the catch, then remaining bycatch indicators are N/A.

Question:

Question: **5.4.2.1 Are there any fish or invertebrate species which make up more than 5% of the total catch (total catch is sum of all recognised species caught and all bycatches and any estimate of discards if this is available)?**
☐ Yes
☐ No
☐ Don't know

Question: **5.4.2.2 Species information**

Clause Evaluation

5.4.3 Verification of Information Sources

Information can be confirmed by quantitative and/or qualitative data or verification by one or more independent sources.

Question:

Question: **5.4.3.1 Is one or more of the following available:**
☐ Fishery information on numbers of interactions such as observers, logbooks or video
☐ Scientific studies on the fishery or directly similar situations elsewhere
☐ Traditional, fishery or community-derived information that can be independently validated (such as by an independent body, NGO etc) 3
☐ Independent expert testimony, based on experience of the fishery or directly similar situations elsewhere.

Sub-section: **5.5 Bycatches: evaluation**

Clause Evaluation

5.5.1 Fisher Group Catches Assessed Against Overfishing Risk Measures

Catches by the Fisher Group can be evaluated against measures of overfishing - there is information to determine whether 'main' stocks are above PRI (or a suitable proxy - CPUE, av. Size etc), or fishing mortality on the stock is below suitable limit levels (F<Flim), or catches of the Fisher Group comprise <30% of total catches for each stock, or a Risk Assessment indicates 'LOW' risk of the Fisher Group causing overfishing. If catches by the Fisher Group are not expected to cause overfishing of 'main' stocks, then remaining bycatch indicators are N/A.

Question:

Question: **Species name**

Question: **Selection**

☐ The stock has been assessed and is considered to be above its Point of Recruitment Impairment (or proxy using CPUE, average size or such)
☐ Stock assessment considers fishing mortality to be below limit levels (i.e. F<Flim)
☐ Catches of the stock by the Fisher Group are not significant (the Fisher Group catches less than 30% of the total catches from the stock)
☐ A risk assessment shows LOW risk of the Fisher Group causing overfishing of the stock
☐ Other evaluation showing low risk of Fisher Group Overfishing
☐ None of these apply and MAIN bycatch stock is/are likely to be subject to overfishing by the Fisher Group

Sub-section: **5.6 Bycatches: management**

Clause Evaluation

5.6.1 Measures in Place to Prevent Overfishing of Main Bycatch Species

Measures are in place which are expected to ensure that the Fisher Group does not cause overfishing of main bycatch species. Measures may be formal or informal management actions that for main bycatch stocks are expected to either: maintain stock above PRI (or proxy) or keep fishing mortality on the stock below suitable limit levels (F<Flim) or result in catches of Fisher Group comprising <30% of total catches of the stock.

Question:

Question: **5.6.1.1 Are any regulations or voluntary measures in place which serve to limit fishing pressure on main bycatch species which are subject to overfishing?**
☐ Yes
☐ No
☐ Don't know

Question: **5.6.1.2 Have these measures been shown to be effective in reducing fishing pressure from the Fisher Group to a level that would avoid overfishing by the Fisher Group of the MAIN bycatch species?**
☐ Yes
☐ No
☐ Don't know

Sub-section: **5.7 Habitats: information**

Clause Evaluation
5.7.1 Fishing and Protected Habitat Locations Are Identified (MINIMUM REQUIREMENT)
If the gear used is pelagic and does not ordinarily interact with bottom habitat, then remaining habitat indicators are N/A.The presence and locations of any formally protected habitat areas within the area of operation of the Fisher Group are known.

Question:

Question: **5.7.1.1 Is the gear used pelagic - fishing takes place in the water column and does not ordinarily interact with bottom habitat?**
☐ Yes
☐ No
☐ Don't know

Question: **5.7.1.2 Are the areas in which the fishery operates known?**
☐ Yes
☐ No
☐ Don't know

Question: **5.7.1.3 Has the Fishery Client or members of the Fisher Group been provided with regulations, maps etc showing the presence of formally protected areas.**

☐ Yes
☐ No
☐ Don't know

Clause Evaluation

5.7.2 Vulnerable Habitat Locations and Their Importance Are Identified

The locations of main habitats vulnerable to physical harm by Fisher Group fishing gear are known. Particular attention is given to any vulnerable habitat which is known to be essential in the life histories of harvested populations or Threatened species, such as spawning or nursery habitat.

Question:

Question: **5.7.2.1 Is there information on habitats (including seabed communities), which overlap with the area of operation of the Fisher Group, that may be substantially destroyed or altered by the fishing gear under evaluation. This should include, if relevant, habitat which is essential to harvested populations or threatened species.**

☐ Yes
☐ No
☐ Don't know

Sub-section: **5.8 Habitats: evaluation**

Clause Evaluation

5.8.1 Impact of Fishing Gear on Vulnerable Habitats Is Assessed

The physical effect of the gear used by the Fisher Group on vulnerable habitats should be known or can be reliably estimated. The proportion of each vulnerable habitat affected by the gear should also be known or can be estimated. This should include the full range of such habitat within, and continuously extending beyond, the area of operation of the Fisher Group45. Information should allow determination of whether the Fisher Group threatens such loss of habitat as would cause its extinction or cause a loss of its ecosystem function/service within the full range of the habitat. If the determination shows no such threats, or a risk assessment shows LOW risk from the Fisher Group, then remaining habitat indicators are N/A.

Question:

Question: **Habitat**

Question: **Selection**
☐ A direct assessment has been made of the potential for the Fisher Group (or the larger fishery of which the Fisher Group is a part) to threaten such loss of the habitat as to cause its extinction or loss of ecosystem function.
☐ A risk assessment has been conducted.
☐ Estimates of the effects of the Fisher Group can be made. These may be based on information from similar gear use and habitats elsewhere.
☐ Other evaluation.
☐ None of these: vulnerable habitat may be substantially affected, but the effects of the Fisher Group are not known.

Clause Evaluation
5.8.2 Low Risk Determination Exempts Further Habitat Indicators
If the determination shows no such threats, or a risk assessment shows 'LOW' risk from the Fisher Group, then remaining habitat indicators are N/A.

Question:

Question: **5.8.2.1 Does the evaluation indicate that the Fisher Group does not threaten such loss of habitat as to cause its extinction or loss of ecosystem function (including LOW risk identified in risk assessment)?**
☐ Yes
☐ No
☐ Don't know

Sub-section: **5.9 Habitats: management**

Clause Evaluation
5.9.1 Measures in Place to Prevent Habitat Loss and Ecosystem Impact
Management measures (formal or informal management actions) are in place which control the effects of the gear type on vulnerable habitats such that the Fisher Group does not threaten such loss of habitat as would cause its extinction or cause a loss of its ecosystem function/service within the full range of the habitat.

Question:

Question: **5.9.1.1 Are any regulations or voluntary measures in place which serve to limit effects of the Fisher Group on vulnerable habitats.**

☐ Yes
☐ No
☐ Don't know

Question: **5.9.1.2 Have these measures been shown to be effective in reducing impacts of the Fisher Group to a level that would avoid the extinction or loss of ecosystem function of the habitat within its full range?**
☐ Yes
☐ No
☐ Don't know

Sub-section: **5.10 Ghost gear information**

Clause Evaluation
5.10.1 Assessment of Gear Loss and Ghost Fishing Risk (MINIMUM REQUIREMENT)
The potential for gear used to be lost, and to cause ghost fishing, is estimated.

Question:

Question: **5.10.1.1 Which of the following best describes the potential for ghost fishing resulting from gear loss by the Fisher Group?**
☐ Low - gear is very rarely lost or discarded and/or gear would not attract animals and has low chance of accidental entanglement
☐ Medium - Gear is sometimes lost/discarded, but gear would not attract animals; there is possibility of ongoing accidental entanglement or habitat damage
☐ High - Gear is frequently lost/discarded gear and would continue to attract animals (e.g. baited pots) or there is ongoing potential for 'ghost fishing' (e.g. gill nets)
☐ Other information or estimation.

Clause Evaluation
5.10.2 Education on Impacts of Lost and Discarded Fishing Gear
Fishers are informed of the negative effects of lost and discarded items of fishing gear on animals, habitats and ecosystems. The importance of avoiding discarding gear (such as whole or sections of nets, hooks, lines etc) is emphasised.

Question:

Question: **5.10.2.1 Has the Fishery Client accessed information on the issues associated with lost and discarded gear and shared relevant information with the Fisher Group?**
☐ Yes
☐ No
☐ Don't know

Clause Evaluation
5.10.3 Access to Information on Gear Modifications to Reduce Loss and Ghost Fishing
Where relevant, fishers have access to information on modifications to gear, vessels or fishing operations which would reduce gear loss and/or ghost fishing (such as escape panels in pots or biodegradable FADs).

Question:

Question: **5.10.3.1 Is the Fishery Client aware of any modifications to gear, vessels or fishing operations (including providing information to Fishers) which would reduce gear loss and/or ghost fishing?**
☐ Yes
☐ No
☐ Don't know

Sub-section: **5.11 Ghost gear management**

Clause Evaluation
5.11.1 Participation in Lost Gear Reporting and Recovery Initiatives
Fishers participate in any relevant lost gear reporting and/or recovery initiatives or procedures. Any unwanted fishing gear is returned to shore.

Question:

Question: **5.11.1.1 Do some or all members of the Fisher Group record or report lost gear?**
☐ Yes
☐ No
☐ Don't know

Question: **5.11.1.2 Please provide information on how this is recorded or reported.**

Question: **5.11.1.3 Is unwanted gear returned to shore?**
☐ Yes
☐ No
☐ Don't know

Clause Evaluation
5.11.2 Reporting on Measures to Reduce or Recover Lost Fishing Gear
The Fishery Client shall report on any measures taken to reduce or recover lost or discarded fishing gear.

Question:

Question: **5.11.2.1 Are measures in place in the Fisher Group to reduce gear loss or recover lost fishing gear?**
☐ Yes
☐ No
☐ Don't know

Sub-section: **5.12 Plastic and chemical waste disposal**

Clause Evaluation
5.12.1 Estimating the Potential Disposal of Waste Materials at Sea (MINIMUM REQUIREMENT)
The potential for waste materials (plastics, oils, chemicals) to be disposed of at sea is estimated.Information is provided on onshore waste disposal/recycling facilities.

Question:

Question: **5.12.1.1 Which of the following best describes disposal of oils, chemicals and plastics in your Fisher Group?**
☐ Not applicable - no oils, chemicals or plastics are taken to sea
☐ Some accidental losses, otherwise all is returned to shore
☐ Occasional disposal at sea for particular reasons
☐ Regular disposal at sea (up to half of disposals), rest returned to shore
☐ Routine disposal at sea

Clause Evaluation
5.12.2 Provision of Information on Onshore Waste Disposal and Recycling Facilities (MINIMUM REQUIREMENT)

Information is provided on onshore waste disposal/recycling facilities.

> Question:

> Question: **5.12.2.1 Which of the following best describes the facilities available for on-shore disposal of oils, chemicals and plastics?**
> ☐ Disposal and/or recycling facilities are available at all harbours used
> ☐ Disposal and/or recycling facilities are available at some locations
> ☐ Disposal and/or recycling facilities are not available within the area of the Fisher Group.

Clause Evaluation

5.12.3 Fishers Are Informed of Environmental Impacts of Discarded Waste

Fishers are informed of the negative effect of discarded waste materials on the environment.

> Question:

> Question: **5.12.3.1 Has the Fishery Client accessed information on the issues associated with discarded waste products and shared relevant information with the Fisher Group**
> ☐ Yes
> ☐ No
> ☐ Don't know

Clause Evaluation

5.12.4 Waste Materials Are Returned to Shore for Disposal Whenever Practicable

Waste materials (plastics, oils, chemicals) shall be returned to shore for disposal wherever practicable.

> Question:

> Question: **5.12.4.1 Are waste materials (plastics, oils and chemicals) returned to shore for suitable disposal where suitable facilities are available? Indicate which of the following best describes the situation.**
> ☐ Waste materials are always (or virtually always) returned to shore.
> ☐ Waste materials are usually returned to shore when it is practicable to do so
> ☐ It is not practicable to return waste materials to shore as disposal facilities do not exist or other factors prevent this
> ☐ Not returned to shore

Section: **Governance**

Sub-section: **6.1 Fishery management organisation**

Clause Evaluation

6.1.1 Fishery Management (MINIMUM REQUIREMENT)

There is a fishery management organization or arrangement that manages the fishery.

> Question:

> Question: **6.1.1.1 What best describes the fishery management system? (Check all that apply)**
> ☐ No organisation or arrangement managing this fishery
> ☐ Fishers independently adjust practices based on catch
> ☐ Customary laws
> ☐ Co-management arrangement
> ☐ Managed by a local fishery management organisation
> ☐ Managed by a National fishery management organisation
> ☐ Managed by a Regional or International fishery management organisation

Clause Evaluation

6.1.2 Management Operates per Relevant Customary, Local, National, and International Laws

The fisheries management system operates in accordance with relevant and effective customary, local, national and international laws and regulations as applicable to the Fisher Group.

> Question:

> Question: **6.1.2.1 Does the fishery management system operate in accordance with relevant and effective customary, local, national and international laws and regulations?**
> ☐ Yes
> ☐ No

Clause Evaluation

6.1.3 Transboundary Stocks Managed by Relevant Regional or Bilateral Fisheries Organization
Where the stock(s) fished by the Fisher Group are transboundary fish stock(s), straddling fish stock(s), highly migratory fish stock(s) or high seas fish stock(s), there shall be a bilateral, subregional or regional fisheries organization or arrangement, as appropriate, that is concerned with the management of the whole stock unit over its entire area of distribution. The Flag State(s) of the Fisher Group shall be members or participants in the relevant organisation or arrangement.

> Question:

> Question: **6.1.3.1 What best describes the stock(s) fished by the Fisher Group? (Check all that apply)**
> ☐ Transboundary fish stock(s)
> ☐ Straddling fish stock(s)
> ☐ Highly migratory fish stock(s)
> ☐ High seas fish stock(s)
> ☐ None of the above

> Question: **6.1.3.2 Is there a bilateral, subregional or regional fisheries organization or arrangement, as appropriate, that is concerned with the management of the whole stock unit over its entire area of distribution?**
> ☐ Yes
> ☐ No
> ☐ I don't know

> Question: **6.1.3.3 Is/Are the Flag State(s) of the Fisher Group member(s) or participant(s) in the relevant organisation or arrangement?**
> ☐ Yes
> ☐ No
> ☐ I don't know

Sub-section: **6.2 Organisation and decision-making**

Clause Evaluation
6.2.1 Roles and Responsibilities Are Clearly Defined Within the Management System
Roles and responsibilities of organisations and individuals involved in the fishery management process are defined and understood within the management system.

> Question:

Question: **6.2.1.1 Are the roles and responsibilities of organisations and individuals involved in the fishery management process defined and understood within the management system?**
☐ Yes
☐ No
☐ Don't know

Sub-section: 6.3 Review of management measures

Clause Evaluation
6.3.1 Management Measures Are Regularly Reviewed
The fishery management organisation or arrangement keeps management measures under continuing review.

Question:

Question: **6.3.1.1 Does the fishery management organisation or arrangement keep management measures under continuing review?**
☐ Management measures are regularly reviewed
☐ Management measures are reviewed on an occasional basis
☐ Management reviews are made when issues are raised directly with relevant people/organisations
☐ No management reviews are considered necessary
☐ Don't know

Clause Evaluation
6.3.2 Reviews Use Best Scientific, Local, and Traditional Knowledge Where Relevant
Reviews of measures include use of the best scientific evidence available, and local and traditional knowledge from a diversity of perspectives, as available and relevant.

Question:

Question: **6.3.2.1 Do reviews include use of the best scientific evidence available, and local and traditional knowledge from a diversity of perspectives, as available and relevant?**
☐ Yes
☐ No
☐ Don't know

Clause Evaluation

6.3.3 Review Responses Are Timely and Apply the Precautionary Approach Where Appropriate

Responses to reviews are implemented on defined and justifiable timescales and adopt the precautionary approach where appropriate.

> Question:

> Question: **6.3.3.1 Are changes in management implemented on defined and justifiable timescales?**
> ☐ Management measures are regularly changed
> ☐ Management measures are changed on an occasional basis
> ☐ Changes in management measures are made when issues are raised directly with relevant people/organisations
> ☐ No changes in management measures are considered necessary
> ☐ don't know

> Question: **6.3.3.2 Do changes in management adopt the precautionary approach where appropriate?**
> ☐ Precautionary approach is embedded within fishery management
> ☐ Precautionary approach is not specifically referenced but cost-effective measures to prevent environmental degradation are taken when necessary
> ☐ There is no precautionary approach
> ☐ Don't know

Clause Evaluation

6.3.4 Decisions and Rationale Are Communicated to Relevant Stakeholders for Effective Management

Decisions, and the rationale behind decisions, are communicated to relevant stakeholders so as to enable effective management.

> Question:

> Question: **6.3.4.1 Are fishers and/or organisations relevant to the Fisher Group informed of management decisions?**
> ☐ Anytime reviews are underway, even if they don't result in any changes
> ☐ At regular intervals to provide updates
> ☐ Anytime a management change is made
> ☐ Irregularly, fishers and/or organisations are not always informed of reviews or management changes
> ☐ Never, fishers and/or organisations don't know when reviews are underway or when changes in management are made

☐ Don't know

Sub-section: **6.4 Conflict resolution**

Clause Evaluation

6.4.1 Conflicts Are Resolved Through Impartial and Effective Mechanisms

Effective systems for conflict resolution are provided through impartial and effective mechanisms.

Question:

Question: **6.4.1.1 When disputes occur within the fishery, what are the mechanisms to resolve them?**
☐ Disputes are resolved through legal systems/courts
☐ Disputes are resolved through fisheries management authority
☐ Disputes are resolved as part of customary systems
☐ No conflict resolution mechanism
☐ Don't know

Question: **6.4.1.2 Are the mechanisms able to resolve the most significant issues appropriate to the context of the fishery?**
☐ Yes
☐ No
☐ Don't know

Sub-section: **6.5 Subsidies**

Clause Evaluation

6.5.1 Fishery Client Reports Any Subsidies Within the Fisher Group

The Fishery Client shall report any subsidies within the Fisher Group.

Question:

Question: **6.5.1.1 Are there any subsidies within the Fisher Group?**
☐ Fuel subsidies
☐ Fishing boats subsidies
☐ Fishing gear subsidies
☐ No subsidies

Question: **6.5.1.2 Do any of these subsidies lead to overcapacity within the Fisher Group and/or wider fishing industry?**
☐ Yes, within the Fisher Group
☐ Yes, within the wider fishing industry
☐ No
☐ Don't know

Sub-section: **6.6 Participatory management**

Clause Evaluation
6.6.1 Client and Fisher Group Commit to Participate and Share Data as Required (MINIMUM REQUIREMENT)
The Fishery Client and/or Fisher Group commits to participate in the fishery management system and to make data available to the fishery management organisation as required and to the extent that is possible.

Question:

Question: **6.6.1.1 Is the client willing to commit to participate in the fishery management system and to make data available to the extent that is possible?**
☐ Yes
☐ No

Clause Evaluation
6.6.2 Governance and Management System Is Documented, Participatory, and Transparent
The governance and fisheries management system under which the Fisher Group operates is documented and is managed in a manner which is both participatory and transparent.

Question:

Question: **6.6.2.1 Is the fishery management system (previously identified in 6.1.1.1) documented?**
☐ Relevant laws and regulations (both formal and informal) are documented and available to fishers/representatives
☐ Laws and regulations (both formal and informal) are documented but are not made available fishers/representatives
☐ Regulations may not be documented
☐ Don't know

Question: **6.6.2.2 Is the fishery management system participatory?**
☐ Yes

☐ No
☐ Don't know

Sub-section: **6.7 Participation reporting**

Clause Evaluation

6.7.1 Client Reports on Stakeholder Participation Mechanisms in the Management System

The Fishery Client shall report on mechanisms in place within the management system to facilitate stakeholder participation in decision-making processes.

Question:

Question: **6.7.1.1 How are fishers included on the operation/management of the fishery?**
☐ There is an established process for fisher representatives to discuss issues with fishery managers
☐ Fishers and/or fisher representatives are consulted directly by fishery managers on a regular basis and before any changes are made
☐ Fishers and/or fisher representatives are consulted directly by fishery managers before any major changes are made
☐ Fishers and/or fisher representatives are consulted by fishery managers before the most important changes are made
☐ Fishers and/or fisher representatives make representations to fishery managers through a clear communication channel
☐ There is an established process for fisher representatives to discuss issues with fishery managers
☐ There is no process for fisher representatives to discuss issues with fishery managers

Clause Evaluation

6.7.2 Client Reports on Inclusion of Women, Indigenous, and Vulnerable Groups

The Fishery Client shall report on how opportunities for women, indigenous peoples, marginalized and vulnerable groups are promoted at different levels (fishers, management, etc.).

Question:

Question: **6.7.2.1 Describe the ways in which opportunities for women, indigenous peoples, marginalized and vulnerable groups are promoted at different levels of the fishery management system:**

Sub-section: **6.8 Monitoring, control and surveillance system**

Clause Evaluation

6.8.1 Management System Ensures Monitoring, Control, and Enforcement of Applicable Regulations

The management system provides effective and suitable monitoring, surveillance, control and enforcement of Fishery Management regulations applicable to the Fisher Group .

> Question:

> Question: **6.8.1.1 Which statement(s) best summarize the fishery's situation in regards to monitoring, surveillance, control and enforcement of regulations applicable to the Fisher Group? (Check all that apply)**
> ☐ Fishers monitor the fishery and agree any modifications needed
> ☐ A fishery co-management arrangement monitors and manages the Fisher Group
> ☐ Information is collected on fishing activity and catches
> ☐ There are official inspections of vessels
> ☐ There are official inspections of fishing operations on the water
> ☐ There are on-shore inspections/monitoring of gear and/or landings
> ☐ There is remote monitoring of vessels (e.g. VMS, cameras)
> ☐ None of these

Sub-section: **6.9 Compliance**

Clause Evaluation

6.9.1 Client and Fisher Group Support and Comply With Monitoring and Enforcement Systems

The Fishery Client and/or Fisher Group supports the monitoring, control, and surveillance and enforcement systems and provides, as far as is practicable, compliance and monitoring information requested by the relevant authorities .

> Question:

> Question: **6.9.1.1 Do fishers comply with management requirements/regulations?**
> ☐ Fishery management regulations/requirements are fully complied with by the Fisher Group
> ☐ Fishery management regulations/requirements are mostly complied with by the Fisher Group, some minor non-compliances occur
> ☐ Compliance with fishery management regulations/requirements is variable, some are followed at times
> ☐ Fishery management regulations/requirements are mostly NOT complied with - either many fishers do not follow, or only at some times

Question: **6.9.1.2 When required by relevant authorities, is information on MCS and compliance provided to them when requested?**
☐ Yes
☐ No
☐ Don't know

Section: **Animal Welfare**

Sub-section: **7.1 Animal welfare information**

Clause Evaluation
7.1.1 Transparency in Handling and Killing Practices (MINIMUM REQUIREMENT)
Information is available on practices employed within the Fisher Group in relation to means of handling and killing animals caught.

Question:

Question: **7.1.1.1 How are fish or shellfish killed?**
☐ Killed in the net or on deck
☐ Killed during processing (such as gutting or shucking)
☐ Landed and sold alive
☐ Stunned and bled
☐ Thermal shock

Question: **7.1.1.2 Are fish or shellfish stunned prior to kill?**
☐ Yes
☐ No
☐ Don't know
☐ Not applicable

Question: **7.1.1.3 Please confirm how the fish or shellfish are stunned?**
☐ Stunned by concussive blow
☐ Thermal shock

☐ Stunned by air chill
☐ Electrical stun prior to kill

Clause Evaluation

7.1.2 Access to Information on Gear and Practices for Animal Welfare

Where relevant, fishers have access to information on modifications to gear, vessels or fishing operations which would improve animal welfare. This includes measures to reduce post-release mortality of unwanted catches.

Question:

Question: **7.1.2.1 Is the Fishery Client aware of any modifications to gear, vessels or fishing operations (including providing information to Fishers) which would improve animal welfare?**
☐ Yes
☐ No
☐ Don't know
☐ Not applicable

Sub-section: **7.2 Animal welfare management**

Clause Evaluation

7.2.1 Shark Products Landed with Fins Naturally Attached (MINIMUM REQUIREMENT)

Any shark products landed are whole carcass with fins naturally attached.

Question:

Question: **7.2.1.1 Are sharks regularly caught?**
☐ Never
☐ Sometimes
☐ Routinely

Question: **7.2.1.2 Are shark products (especially shark fins) sold?**
☐ Never
☐ Sometimes
☐ Routinely

Question: **7.2.1.3 Are sharks landed with fins naturally attached?**
☐ Never
☐ Sometimes
☐ Routinely

Clause Evaluation
7.2.2 Animal Welfare Measures Identified and Implementation Reported
Measures to increase animal welfare, appropriate to the Fisher Group, are identified. Any measures implemented shall be reported.

Question:

Question: **7.2.2.1 Are any measures in place (in some or all of the Fisher Group) to improve the welfare of animals during catching/discarding/handling/storing?**
☐ Stunning of animals prior to killing
☐ Modified killing practices to improve welfare
☐ Gear or fishing practice modifications to reduce unwanted mortality or injury and allow safe escape of non target species which will not impact on survivability
☐ Humane handling and release of bycatches
☐ Suitable and appropriate conditions under which animals are kept alive/transported (if applicable)

Section: **Climate Change**

Sub-section: **8.1 Interdependency of Fisher Group with climate change**

Clause Evaluation
8.1.1 Fisher Group Aware of Climate-Related Changes in Fishing Operations
The Fisher group documents changes in fishing operations which may be influenced by climate-related environmental change.

Question:

Question: **8.1.1.1 Is the fishery being affected by climate change?**

☐ Changes in the environment which affect fishing opportunities (such as changes to ice, reduced water levels)
☐ Reduction in important commercial species, with some evidence of an environmental cause
☐ Reduction in important commercial species potentially caused by environmental change
☐ No obvious change in species
☐ Increase in (actual or potential) commercial species potentially caused by environmental change
☐ Increase in (actual or potential) commercial species with some evidence of an environmental cause

Question: **8.1.1.2 How are climate-related changes documented?**

Sub-section: **8.2 Resilience/adaptation**

Clause Evaluation

8.2.1 Fisher Group Cooperates on Climate Change Monitoring and Adaptation Strategies

The Fishery Client and Fisher Group cooperates in the development and implementation of any strategies for monitoring of, adaptation to, and mitigation of, the effects of climate change on the fishery within which the Fisher Group operates.

Question:

Question: **8.2.1.1 Are you aware of any strategies in place to adapt to or mitigate climate-related changes?**
☐ Yes
☐ No

Question: **8.2.1.2 Do you already/are you prepared to cooperate in the development and implementation strategies to adapt to or mitigate climate-related changes?**
☐ Yes
☐ No

Sub-section: **8.3 Energy efficiency/emission reductions**

Clause Evaluation

8.3.1 Fuel Types and Annual Usage Are Estimated

Fuel type(s) used and annual use are estimated.

Question:

Question: **8.3.1.1 Is information on the types of fuels used by the Fisher Group available?**
☐ Yes, they use diesel
☐ Yes, they use gas/petrol
☐ Yes, they use solar energy
☐ No information

Question: **8.3.1.2 What is the current trend in fuel consumption?**
☐ Increasing
☐ Decreasing
☐ Steady
☐ Don't know

Clause Evaluation

8.3.2 Fishers Access Information on Energy-Efficient Gear, Vessels, and Operations

Fishers have access to relevant information on gear modifications or vessel modifications or operational measures which would increase energy efficiency or reduce usage. Any measures implemented shall be reported.

Question:

Question: **8.3.2.1 Are you aware of any strategies that are in place or under consideration to increase fuel efficiency or reduce usage?**
☐ Yes, gear modifications
☐ Yes, vessel modification
☐ Yes, other operational measures
☐ Not aware of any measures

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